

IGSA Policy Handbook

POLICIES THAT GOVERN THE:

INTERNATIONAL GAMING STANDARDS ASSOCIATION

Version 22

The Gaming Standards Association is doing business as the International Gaming Standards Association (“IGSA”)

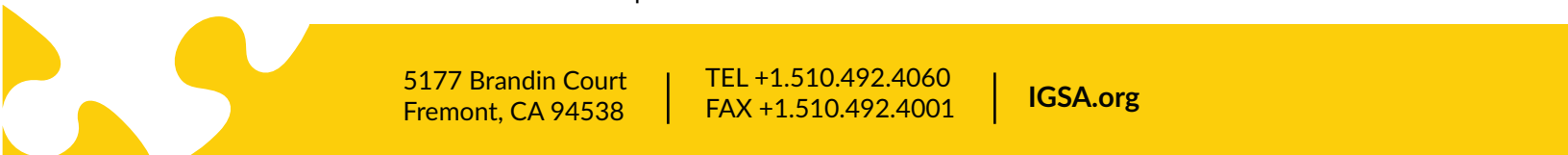
Table of Contents

- IGSA Policy Handbook..... 1
- IGSA Membership Terms & Conditions 8
- Policy 101. Membership..... 10
 - 101.1 Classes & Levels 10
 - 101.2 Privileges, Dues & License Fees..... 10
 - 101.2.1 Membership Qualifications 10
 - 101.2.2 Membership Right to Use of IGSA’s Standards 10
 - 101.2.3 Manufacturer ID 10
 - 101.2.4 Subsidiaries & Affiliates of Existing Members 11
 - 101.2.5 Bronze Membership..... 11
 - 101.2.6 Committee Specific Membership 11
 - 101.2.7 Affiliate Membership 12
 - 101.2.8 Advisory Membership 12
 - 101.2.9 Supporting Membership 12
 - 101.2.10 Membership Application..... 13
 - 101.3 Document Distribution..... 13
 - 101.4 Confidentiality and Non-Disclosure..... 13
 - 101.4.1 Confidential Information 13
 - 101.5 Press Communication..... 15
 - 101.5.1 Press Inquiries 15
 - 101.5.2 Press Releases..... 15
 - 101.5.3 Member Press Releases that Mention IGSA..... 15
- Policy 102. Committees 16
 - 102.1 Committee Formation 16
 - 102.1.1 BOD Created 16
 - 102.1.2 Technical Committee 16
 - 102.1.3 Non-Technical Committee..... 16
 - 102.2 Committee Membership Requirements 16
 - 102.3 Committee Operating Procedures 16
 - 102.3.1 Formal Committee Meetings 16
 - 102.3.1.1 Workgroups 17
 - 102.3.1.2 Interim Meetings..... 17



- 102.3.1.3 Contribution Submission17
- 102.3.1.4 Host Criteria.....17
- 102.3.2 Committee Voting 17
- 102.3.3 Committee Officers 18
 - 102.3.3.1 Chair18
 - 102.3.3.2 Vice-Chair 19
 - 102.3.3.3 Elections 19
 - 102.3.3.4 Resignation 19
 - 102.3.3.5 Liaisons 19
- 102.3.4 Non-Member Participation..... 20
- 102.3.5 Subject Matter Experts..... 20
- 102.4 Standards Development Process 20
 - 102.4.1 Call For A New Standard 20
 - 102.4.2 Standards Development Process 21
 - 102.4.2.1 Initial Draft..... 21
 - 102.4.2.2 Membership Review 21
 - 102.4.2.3 Final Draft 22
 - 102.4.2.4 BOD Process Review..... 22
 - 102.4.2.5 Membership Vote 22
 - 102.4.2.6 Standard 22
 - 102.4.3 Voting On Standards..... 22
 - 102.4.3.1 Vote Types 22
 - 102.4.3.2 Voting Process 23
 - 102.4.3.3 Duplicate Votes..... 23
 - 102.4.3.4 Quorum 23
 - 102.4.4 Changes To An Existing Standard..... 23
 - 102.4.4.1 Technical Bulletins 23
 - 102.4.4.2 business Bulletins..... 24
 - 102.4.4.3 Minor Revision Release 24
 - 102.4.4.4 Major Revision Release 24
 - 102.4.5 Extensions To Standards 24
 - 102.4.5.1 Extension functionality types..... 24
 - 102.4.5.2 Extension process 25
 - 102.4.5.3 Evaluation Of Proposed Extension..... 25
 - 102.4.5.4 Inclusion Of Extensions In IGSA Standards 26
 - 102.4.6 Deprecations from Standards 28
 - 102.4.7 Change Management 28
 - 102.4.7.1 Oversight 29
 - 102.4.7.2 STage 1: New Standard Released..... 29
 - 102.4.7.3 Stage 2: Implementation 29

- 102.4.7.4 Stage 3: FeedBack & Review.....29
- 102.4.7.5 Stage 4: Board Approval29
- 102.4.7.6 Stage 5: Revisions & Final Vote.....30
- 102.4.7.7 Stage 6: Old Standards Retired30
- 102.4.8 Categorization of Standards.....30
 - 102.4.8.1 Categories of Standards30
 - 102.4.8.2 CategoRIES of Extensions31
 - 102.4.8.3 Certification of Standards.....32
- 102.5 Guidelines32
- 102.6 Publication of New Features32
- 102.7 Technical Committee Document Management32
 - 102.7.1 Standard And Extension Versioning.....32
 - 102.7.1.1 Standard Versioning.....32
 - 102.7.1.2 Extension Versioning.....33
 - 102.7.1.3 Draft Standard And Extension Versioning33
 - 102.7.2 Guidelines Versioning33
 - 102.7.3 Availability Of Technical Committee Documents.....33
 - 102.7.4 Document Types.....34
- Policy 103. Intellectual property.....35
 - 103.1 Purpose of Patent Policy.....35
 - 103.2 Inclusion of Patents in IGSA Standards.....35
 - 103.3 Disclosure of Patents35
 - 103.4 Request for Patent Notice36
 - 103.5 Statement from Committee Member.....36
 - 103.6 Failure to Respond to Request for Patent Notice.....37
 - 103.7 Record of Patent Holder's Statements.....37
 - 103.8 Notice in Standards38
 - 103.9 Responsibility for Identifying Patents and Resolving Disputes38
 - 103.10 Definitions.....38
 - 103.11 No Retroactive Effect.....39
 - 103.12 Effect of Participation in Disclosure Process.....39
 - 103.13 Effect of Termination of Membership.....40



103.14 Arbitration40

103.15 Policy Modification41

103.16 Licensing41

103.17 Intellectual Property41

 103.17.1 IP.COM.....41

 103.17.2 Dissolution of IGSA.....41

Policy 104. Board of Directors42

 104.1 BOD Election and Service.....42

 104.1.1 Eligibility42

 104.1.2 Board composition42

 104.1.3 Election and term.....42

 104.1.4 Vacancies, resignation and removal of directors.....42

 104.1.5 Board meeting attendance43

 104.1.6 Member Participation in Board Meetings43

 104.2 Executive Committee Duties43

 104.3 Board of Directors Advisory Council44

 104.3.1 Eligibility44

 104.3.2 Composition.....44

 104.3.3 Requirements44

 104.3.4 Election and term.....44

 104.3.5 Vacancies, resignation and removal of advisors44

 104.3.6 Responsibilities.....45

 104.3.7 Meetings.....45

 104.4 Architectural Oversight Committee Duties45

Policy 105. Financial46

 105.1 Fiscal Year46

 105.2 Annual Dues and Collection46

 105.2.1 Membership Dues.....46

 105.2.2 Invoicing46

 105.3 Financial and Investment47

 105.3.1 Financials47

 105.3.2 Investments47

 105.3.2.1 Permissible Instruments47



105.3.2.2 Liquidity of Investment Instruments.....47

105.3.2.3 Equipment Purchases.....47

Policy 106. Organizational Management48

106.1 Record Retention.....48

106.1.1 General.....48

106.1.2 Annual Cleanup and Audit.....48

106.1.3 Confidential Records.....48

106.1.4 Legal Interruption of Normal Operations.....48

106.1.5 Document Retention Minimum Best Practice.....49

106.2 Conflict of Interest.....51

106.2.1 Purpose.....51

106.3 Whistle Blower.....52

106.3.1 General.....52

106.3.2 Reporting Responsibility52

106.3.3 No Retaliation52

106.3.4 Reporting Violations.....52

106.3.5 Reporting Individual52

106.3.6 Accounting and Auditing Matters.....52

106.3.7 Acting in Good Faith53

106.3.8 Confidentiality53

106.3.9 Handling of Reported Violations53

106.4 Privacy53

106.4.1 Scope.....53

106.4.2 General.....53

106.4.3 You Consent to International Transmission of Your Data.....54

106.4.4 Exceptions: Information That We Do Not Share.....54

106.4.5 Payment Information.....54

106.4.6 Registration Information.....54

106.4.7 Non-Public Mailing Lists and direct mail to individuals at the Parties.....55

106.4.8 Passwords.....55

106.4.9 Information for letters of invitation.....55

106.4.10 Security55

106.4.11 Children55

106.4.12 Compliance.....55

106.4.13 Other Organizations.....55

106.4.14	Links to other sites.....	56
106.4.15	Consent.....	56
	Definitions.....	57
	Policy book Revisions.....	58
	Policy Revisions.....	59

IGSA Membership Terms & Conditions

The following Terms and Conditions are found within the IGSA Policy Handbook (Handbook) and Bylaws posted on the IGSA website. They are presented here for the convenience of the Member who is reminded that they are responsible for reading and adhering to both the Policy Handbook and Bylaws.

RIGHTS TO USE IGSA INTELLECTUAL PROPERTY

1. Members may have access to confidential information in the course of their participation as a Member. **Members-only documents are considered confidential information.**
2. Members, except Advisory and Affiliates, must be in good standing to have the **right to use any IGSA Intellectual Property in technology and /or products** they produce for commercial benefit. Members who fail to retain membership are no longer in good standing and will **lose the right to use IGSA Intellectual Property**. Advisory and Affiliate members may get access to IGSA standards upon approval of the Board of Directors (BOD). This access does not provide the right to commercially implement the IP unless approved by the BOD. Member companies in legitimate possession of Members-only documents may **share their IGSA confidential information with non-employee contractors and/or consultants** providing that the recipient agrees to **execute a non-disclosure agreement** or is bound by non-disclosure with the Member through a consulting agreement or **IGSA license agreement**.
3. **Contractors and/or consultants to member companies are not entitled to commercialize** any IGSA Intellectual Property (e.g.: standards) without also being a member of IGSA or having executed a separate IGSA license agreement.
4. IGSA reserves the right to **inform regulatory authorities** of any company that has commercially implemented IGSA's Intellectual Property that is not a member in good standing or a licensee. **Use of IGSA Intellectual Property** (including but not limited to IGSA developed standards, Whitepapers, Publications, and other copyrighted materials) in commercial technology and/or products is only allowed by:
 - a. Members in good standing; meaning Members who have paid the required dues, fees, and assessments in accordance with the IGSA Bylaws and who are not suspended;
 - b. Members who have opted into the Online Gaming Committee and executed the appropriate IGSA license agreement(s);
 - c. Non-members who have executed and returned to IGSA a License agreement for Intellectual Property that IGSA has agreed to make licensable.
5. A **government regulatory agency** is able to request free copies of IGSA Standards; access upon approval of the IGSA President. Government-sponsored operators must be Members.
6. Access of **IGSA Intellectual Property** by Regulatory Authorities is only permitted for non-commercial use. **Regulatory Authorities cannot share** any IGSA Intellectual Property with a 3rd party to use in a technology or product they wish to commercialize unless they become a member.

MEMBERSHIP

7. To **become a Member** a company must meet the qualifications as set out in the Handbook Policy 101 and the Bylaws Article 3.
8. **A Member's subsidiary or affiliate company** or companies may not hold Voting membership.
9. Members can only share non-public IGSA information with **wholly owned subsidiary** companies.

10. Members must abide by the **Document Distribution and Non-Disclosure** rules as set forth in the Handbook Policy 101.
11. Members **developing extensions** to IGSA standards must follow the rules as set forth in the Handbook Policy 102.
12. Members must respond to a **Request for Patent Notice** issued by IGSA disclosing Patents held by Members that may be required as part of an IGSA standard being developed. This follows the rules set forth in Handbook Policy 103.
13. Members **failing to respond to a Request for Patent Notice** or failing to list all owned Patents which may be required for an IGSA standard, are deemed to have agreed to make licenses available to the Essential Claims as set forth in the Handbook Policy 103.
14. Committee Chairs are **required to file with IP.COM** any significant ideas that are discussed in a committee meeting to create prior art, as per Handbook Policy 103
15. Only Platinum and Gold Members are **eligible for Board of Director seats**. Non-Board of Directors Members may be invited to participate in open session portions of Board meetings.
16. Members who have **failed to renew their membership** but then later wish to renew during the same calendar year, must rejoin at the full fee.
17. Members that do not pay their membership dues in full will have their membership privileges suspended when the membership invoice is thirty (30) days past due. Their membership will be terminated when the invoice is sixty (60) days past due.
18. Members agree to continue to be bound by all policies and bylaws until the date a Member indicates their intention not to renew or until expiration of the sixty (60) day grace period, whichever shall first occur. The obligation of the Member to keep IGSA Confidential Information confidential shall continue until such time as the Confidential Information is publicly known, without fault on the part of the Member.
19. The IGSA Board of Directors may, at any time, enact **Special Assessments to generate revenues** required by IGSA as set forth in the Bylaws Article 3.
20. IGSA Toolkits access: GSA toolkits (GDS and SAS) are only available to Platinum, Gold and Silver members. They will be supplied 'as is.' The SAS toolkit requires IGT's confirmation that the requesting member has a valid SAS license agreement on file with IGT.

POLICY 101. MEMBERSHIP

101.1 Classes & Levels

The Board of Directors (BOD) has established the following membership classes in the Corporation:

- 1) Voting, encompassing two levels with different privileges: Platinum, Gold.
- 2) Non-voting, encompassing four levels with different privileges: Silver, Bronze, Advisory, and Affiliate.

Consult GSA Bylaws regarding full qualifications and rights of voting and non-voting membership categories.

Members are required to read and understand all IGSA policies.

101.2 Privileges, Dues & License Fees

Refer to the IGSA website at www.igsa.org for a complete overview of privileges, membership levels, dues, and license fees.

101.2.1 Membership Qualifications

- 1) A manufacturer of gaming devices who currently manufactures, assembles, produces, programs or makes modifications to any gaming device;
- 2) A manufacturer of gaming associated equipment that manufactures, assembles or produces any equipment for use in or by the gaming industry;
- 3) An operator who holds a license to operate gaming devices;
- 4) Any other company that provides other goods and/or services to operators, manufacturers or vendors related to the gaming industry;
- 5) Only a Member can be recognized as an IGSA accredited test lab.
- 6) Any company or person that commits to support IGSA's mission.

101.2.2 Membership Right to Use of IGSA's Standards

Members must be in good standing to use any IGSA intellectual property in technology and /or products they produce for commercial benefit. Members who fail to retain membership are no longer in good standing and will lose the right to use IGSA intellectual property with the exception of online standard covered by a separate licensing agreement.

IGSA reserves the right to report to regulators any company that uses IGSA's intellectual property without being a member in good standing.

101.2.3 Manufacturer ID

Within the IGSA standards, there is a set of identifiers that are designed to be globally unique. These identifiers are called a Manufacturer ID or MID. The MID ensures that one manufacturer will not duplicate the identifiers used by another

manufacturer. The remaining characters are assigned by the manufacturer in a manner that maintains uniqueness across the manufacturer's product lines.

MIDs are referenced in a number of places in our standards. They identify the manufacturer of a product or appear as the prefix to a manufacturer-assigned identifier – for example, an EGM Id, pay table Id, theme Id, etc. The MIDs always require there to be three characters comprised of the characters A-Z and 0-9.

The IGSA MID registry is used to track the identifiers registered to IGSA members. The registry also indicates which manufacturer ID's are no longer valid. To obtain and maintain IGSA Certification for its products, a company must be assigned a Manufacturer ID.

- 1) Each IGSA Member in good standing may request and is entitled to one (1) MID.
- 2) Each IGSA Member in good standing may request additional MIDs for an annual fee per MID.
- 3) If an IGSA Member company acquires one or more IGSA Members, then:
 - a) If the new Parent company wants to retain ownership of the acquired Members' MIDs in addition to its own, then it may do so for an annual fee per acquired company's MID.
 - b) If the new Parent company does not want to retain ownership, then the acquired Members' MIDs will be marked as not in use and archived.
- 4) If an IGSA Member company owning a MID goes out of business, its MID will be marked as not in use and archived.
- 5) If an IGSA Member company leaves IGSA after using its MID and anyone wishes to retain ownership or use of that MID, may do so for an annual fee per MID.
- 6) A non-member cannot request a MID, however a non-member company that has licensed an IGSA standard, that requires a MID, may request a MID for an annual fee

Failure to pay the MID fee(s) will lead to the revocation of the MID(s) and an update to the MID registry as per this policy.

101.2.4 Subsidiaries & Affiliates of Existing Members

- 1) A subsidiary or affiliate company of an existing Voting Member may not hold a Voting membership.
- 2) Under no circumstances is IGSA information, not already available in the public domain, to be shared with any company other than a wholly owned subsidiary company.
- 3) A subsidiary company is a company that does business under separate names and files separate corporate/business licenses and tax filings.

101.2.5 Bronze Membership

A Bronze Member only has access to IGSA released Standards as defined in section 101.2 Privileges and will be assigned a Manufacturers ID. Bronze membership must be kept current to retain the Manufacturers ID.

101.2.6 Committee Specific Membership

IGSA offers Operator and Online Gaming committee memberships. Committee specific membership has the following rules and restrictions for participation;

- Participants are considered Non-voting members as defined in this policy.
- Participants may vote within the specific committee and enjoy committee-voting rights as defined in section 102.3.2
- Participants are eligible to hold committee officer positions as defined in section 102.3.2
- Participants may access information available in the specific committee only.
- Operator Committee Participants:
 - Must adhere to the rules of a non-Technical Committee as defined in Policy 102
- Online Gaming Committee Participants:
 - Must adhere to licensing agreement
 - Must adhere to the rules of a Technical Committee as defined in Policy 102
 - May call for the creation of a new standard as defined in section 102.4.
 - May have access to the draft & released committee standards only.
 - May send unlimited attendees to participate in the committee.

101.2.7 Affiliate Membership

The BOD may invite any company or organization that can contribute to IGSA's success through affiliated or educational activities to join as an Affiliate Member. The BOD upon request of an Affiliate Member may approve committee participation and/or access to committee data or IGSA Standards. This access does not provide the affiliate member with any right to implement and/or commercialize the provided standard(s) unless as otherwise agreed to by the BOD. This membership is reviewed and renewed annually at the discretion of the BOD. There is no cost to this level of membership.

101.2.8 Advisory Membership

A company may apply for an Advisory membership if they have expertise or special knowledge that contributes to the Standards process, outcome and/or application. Advisory Members may be solicited for comments on Standards and/or be utilized for research by other committees. The BOD upon request of Advisory Member may approve committee participation and/or access to committee data or IGSA Standards. This access does not provide the affiliate member with any right to implement and/or commercialize the provided standard(s) unless as otherwise agreed to by the BOD. This membership is reviewed and renewed annually at the discretion of the board.

101.2.9 Supporting Membership

Supporting members are companies or individuals that are committed to support IGSA's mission. They recognize the work IGSA does and want to encourage all of those engaged to continue. Supporting members make contributions to IGSA in the form of annual dues.

101.2.10 Membership Application

All Members are required to sign and date the Membership Application as a condition of becoming a Member.

101.3 Document Distribution

Members may duplicate Members-only documents for review and comment by employees of their companies.

Member companies in legitimate possession of Members-only documents may provide copies of them to non-employee contractors and/or consultants providing that the recipient agrees to execute a non-disclosure agreement, or is bound by non-disclosure with the Member through a consulting agreement or IGSA licensing agreement.

Member companies should be aware that the distribution of IGSA documents, as described here, to non-members can be subject to board sanction, including but not limited to, the termination of membership, financial penalties and the notification of all appropriate Regulatory Authorities that the use of IGSA documents is not authorized.

No Member may modify an IGSA document and then transmit the modified version, unless all modifications are clearly identified as such.

A government regulatory agency is able to request free copies of IGSA Standards; access upon approval of the IGSA President. Government-sponsored operators must be Members.

Distribution of IGSA standards to governments, regulators, and non-implementers is at the discretion of the President and/or Chairman. The information provided by IGSA to the requesting party will only be for a specific IGSA standard version and/or its extensions. The information requested will only be distributed in an unalterable format without any additional information (such as XML files and/or Technical Bulletins). Access to any IGSA standard is accompanied with a cover letter or statement giving notice to the receiving party that the information they are receiving is IGSA confidential. The requested IGSA standard will have a watermark stating "confidential / non-implementation" and mention the specific entity it was disclosed to.

The BOD, at its discretion, may provide free access to any of IGSA's Standards.

101.4 Confidentiality and Non-Disclosure

101.4.1 Confidential Information

- 1) Members-only documents are considered confidential information. Members may have access to Confidential Information in the course of their participation as a Member.
- 2) Confidential Information includes, among other things, all notes, analysis, compilations, studies, interpretations, vendor lists, products, analysis, protocols, test results, descriptions, drawings, computer software, source code, patent applications, hardware configurations, systems, standards, specifications, or other materials or documents prepared or developed by IGSA or by a Member or its Representatives which contain, reflect or are based upon any information furnished to a Member, its Representatives, or its parent companies ("Recipients") pursuant to this policy; including materials and all other trade secrets of IGSA as defined by the laws of the State of California or the United States relating to standards, protocols, and specifications; whether written, oral, magnetic or other machine-readable format provided, however, that any oral communication will be Confidential Information only if the substance of the oral communication is reduced to writing, marked "Confidential" and delivered to the Recipients within 72 hours of the oral communication. Confidential Information does not include information, knowledge or factual data which:
 - a) Was within Recipients' possession prior to its being furnished to Recipients by or on behalf of IGSA pursuant hereto, provided that the source of such information was not known by Recipients to be bound by a

confidentiality agreement with or other contractual, legal or fiduciary obligations of confidentiality to IGSA or any other party with respect to such information; or

- b) Becomes part of the public knowledge or literature other than by reason of any action or inaction of Recipient; or
 - c) Becomes available to Recipients on a non-confidential basis from a source other than IGSA or any of its representatives having the right to disclose same; or
 - d) Is approved for release, in writing, by IGSA; or
 - e) Is independently developed by Recipients without the use of any information received from IGSA as documented in writing.
- 3) In consideration of the disclosure of Confidential Information to Recipients, Members agree:
- a) To not use any Confidential Information for Recipients' own use or for any purpose other than for the purpose set forth above;
 - b) To not disclose any Confidential Information to any person or entity, including but not limited to any affiliate or subsidiary of any of the Recipients, except as approved in advance in writing by IGSA;
 - c) To take all reasonable measures to protect the secrecy of and avoid disclosure or use of the Confidential Information in order to prevent it from falling into the public domain or the possession of persons other than those persons authorized hereunder to have any such information, which measures shall at a minimum include the highest degree of care that Recipients utilize to protect their own information of a similar nature but no less than a reasonable degree of care;
 - d) To abide by the provisions of this policy at all times;
 - e) To ensure the terms of this policy are binding on all of Recipients' owners, officers, employees and Representatives and to not disclose any Confidential Information to any Representative of Recipients unless such person is subject to a confidentiality and non-disclosure agreement the terms of which are at least as restrictive as the terms of this policy;
 - f) To notify IGSA in writing of any misuse or misappropriation of the Confidential Information which may come to Recipients' attention, followed by a detailed written explanation of the facts and circumstances of the misuse or appropriation;
 - g) To take all immediate steps to recover and/or secure the Confidential Information if it is misused or misappropriated;
 - h) To cooperate with IGSA, its officers, employees and attorneys in any efforts to recover and protect from further compromise any Confidential Information;
 - i) That IGSA shall have the right to seek enforcement of this policy and/or relief for a breach thereof in any court of proper jurisdiction and venue.
- 4) The obligation of Recipient to keep IGSA Confidential Information confidential pursuant to this policy shall continue until such time as the Confidential Information is publicly known, without fault on the part of Recipient.

101.5 Press Communication

101.5.1 Press Inquiries

Requests for articles, interviews or quotes should be directed to the BOD Chair, President or their designee.

101.5.2 Press Releases

IGSA may request coordination of press releases with its Members to announce products that adhere to IGSA Standards, to announce creation of new working groups or sub groups, and other special cases.

Press releases shall be reviewed and approved by the Executive Committee before being distributed. The Executive Committee shall have three (3) business days to review and approve press releases.

101.5.3 Member Press Releases that Mention IGSA

Members may announce membership in the IGSA.

Members may announce development of products that will adhere to IGSA Standards.

Members may announce products that implement IGSA Standards if they are IGSA certified or, if they meet the IGSA compliance criteria as defined for each Standard.

POLICY 102. COMMITTEES

102.1 Committee Formation

102.1.1 BOD Created

The BOD shall appoint standing or special committees as necessary to carry out the objectives and purposes of the Corporation. All committees must have a charter defining the purpose of the committee and the scope defining its rules and boundaries. Committee Membership vests in the Member organization, not the individual.

102.1.2 Technical Committee

A technical committee develops technical standards in accordance with their specific charter. The BOD approves the creation of a technical committee as a result of a request from the membership for a new standard. The request must include an overview of the proposed standard, a draft charter, a development timeline, an agenda for the initial committee meeting, and written support from a minimum of three (3) Members. All requesting Members must commit to providing resources. If approved, new standards will be developed following the standards development process.

102.1.3 Non-Technical Committee

A non-technical committee identifies, studies, evaluates, discusses, and proposes specific business requirements, guidelines or templates to the BOD for consideration of inclusion into existing or new technical standards. The BOD approves the creation of a non-technical committee as a result of a request from the membership. The request must include an overview of the proposed committee, a draft charter, a project timeline, an agenda for the initial committee meeting, and written support from a minimum of three (3) Members. All requesting Members must commit to providing resources.

102.2 Committee Membership Requirements

- 1) Members that want to participate in a technical committee are required to 'opt-in', using the Technical Committee Opt-in form. Technical committee participation carries with it specific responsibilities with regards to IGSA's IP policy and licensing. Technical committee Members are encouraged to know and understand their responsibilities under this policy.
- 2) Members of a non-technical committee are not required to 'opt-in' as a pre-requisite to participate in the non-technical committee. Non-technical Committee Membership is not bound by the IGSA's intellectual property guidelines.

102.3 Committee Operating Procedures

102.3.1 Formal Committee Meetings

Formal Meetings shall be held a maximum of (4) times per calendar year. A proposed agenda is set by the committee Chair and shall be distributed to all Committee Members at least seven (7) days prior to a Formal Meeting. Any items scheduled for vote shall also be distributed to all Committee Members at least seven (7) days prior to a Formal Meeting.

Face-to-face Formal Meetings must be announced to all Committee Members at least one (1) month in advance.

A committee Chair can request approval from the Executive Committee to hold a Formal Meeting at any time.

102.3.1.1 WORKGROUPS

A committee can decide to create a workgroup to address specific objectives. The committee will appoint a workgroup leader as well as a clear timeline for every workgroup established. After having achieved the specified objectives the workgroup will be disbanded.

102.3.1.2 INTERIM MEETINGS

Committees may hold interim meetings independent of Formal Meetings every 6 weeks as determined by the Chair. Interim meetings may be held in person or by conference calls. Interim meetings are always ad hoc. Binding votes may not be taken at interim meetings.

102.3.1.3 CONTRIBUTION SUBMISSION

All Committee Members may submit their contributions and comments, with sufficient detail, to IGSA's change management system (JIRA). To provide Committee Members adequate time to review the contributions and comments, Committees will only discuss JIRAs submitted two (2) business days or more prior to the date of a meeting. Exceptions may be made at the discretion of the Chair dependent on the severity of the issues raised in the JIRA and if they are determined to be materially related to the subject of a vote in that meeting.

102.3.1.4 HOST CRITERIA

- 1) Host must be a member of the International Gaming Standards Association in good standing.
- 2) Host opportunities, after being announced to the membership, will be given on a first-come, first-serve basis.
- 3) Host will be required to provide meeting support as defined in writing by staff.

102.3.2 Committee Voting

- 1) A Voting Member attains Committee Voting Status when a new committee is created.
- 2) A Voting Member attains Committee Voting Status in an existing committee at the second consecutive Formal Meeting attended.
- 3) Committee Voting Status is revoked after missing two (2) consecutive Formal Meetings or a change in membership class from Voting to Non-Voting.
- 4) A quorum must be present to conduct business and make binding decisions at a Formal Meeting. A quorum is defined as more than 50% of the number of Voting Committee Members present at the last Formal Meeting.
- 5) Roll call votes will be mandatory for the release of any documents by the committee. The committee Chair should perform a roll call to identify Voting Committee Members present to determine quorum prior to calling for a vote. In addition, the committee Chair or any Voting Committee Member can call for a roll call vote or a written ballot for any other vote performed by the committee.
- 6) Each Voting Committee Member is permitted one (1) vote. If two or more Voting Committee Members from the same Member cannot agree on a vote, the vote will be recorded as an abstention.

- 7) Votes at a committee meeting require a simple majority of the Voting Committee Members present – that is, more "For" votes than "Against" votes. Abstention votes count towards the majority.
- 8) Voting Committee Members must be in attendance at a meeting in order to vote. A Voting Committee Member who leaves during a meeting will be recorded as an abstention for any votes taken during the absence from the meeting. Voting by proxy will not be permitted for committee meetings.
- 9) Only Voting Committee Members may make a motion or second a motion.
- 10) Binding votes can only be taken in a Formal Meeting.

102.3.3 Committee Officers

A Chair and / or a Vice-Chair lead each Committee.

102.3.3.1 CHAIR

The Chair reports directly to designated IGSA Staff and/or the President. The BOD shall have the authority to override decisions made by the Chair. The Chair sets the overall direction to ensure that the committee performs within the scope of their charter. The Chair is responsible for:

- 1) Meeting scheduled commitments,
- 2) Setting the meeting agenda,
- 3) Setting the meeting schedule as defined in sections 102.3.1 and 102.3.1.2,
- 4) Conducting meetings,
- 5) Determine with the advice of the committee if any significant idea discussed during meetings should be filed with IP.com to establish prior art,
- 6) Managing productivity,
- 7) Reviewing minutes with the Secretariat,
- 8) New Member orientation, and
- 9) Overall management of the committee, its Working Groups, and other responsibilities and duties as determined by the designated IGSA staff.

The Chair, in collaboration with designated IGSA Staff and/or the President, is responsible for creating and maintaining:

- 1) A definition of work objectives,
- 2) Deliverables for each objective with timelines, and
- 3) A report highlighting progress-to-date versus timeline for each deliverable.

The committee Chair may distribute, at his/her discretion, committee materials, meeting minutes, and meeting notices to non-Members under a non-disclosure agreement subject to approval by the Executive Director, President, or their designee. Exercise of such discretion shall be documented in the committee minutes of the meeting.

The committee Chair will settle all Committee Member disputes or other issues on a non-discriminatory basis.

102.3.3.2 VICE-CHAIR

The Vice-Chair reports directly to the Chair. The Vice-Chair will assume the responsibilities of the Chair if the Chair leaves his position for any reason. The Vice-Chair is responsible for:

- 1) Conducting meetings in the absence of the Chair,
- 2) Assisting the Chair in the operation of the committee, and
- 3) Stepping in as Chair in the event that a Chair is not available.

102.3.3.3 ELECTIONS

Committee Chair and Vice-Chair elections are held at the beginning of each year and are conducted via secret ballot by the Secretariat. Chair or Vice-Chair must be a Representative from a Voting Member.

- 1) Chairs and Vice-Chairs serve for a one (1) year term.
- 2) Chairs and Vice-Chairs serve for a maximum of three (3) consecutive terms.
- 3) The BOD may remove a Chair and Vice-Chair at any time.
- 4) In the event that there are no elected candidates for a Chair or Vice-Chair, the BOD may appoint the Chair or Vice-Chair (including a candidate who has served three (3) terms) for a period of time determined by the BOD not to exceed one (1) year.
- 5) Election of Chairs and Vice-Chairs for newly formed committees will be held at the first Formal Meeting of the committee. Designated IGSA Staff will act as Chair until the election process is completed.

102.3.3.4 RESIGNATION

In the event of the resignation or removal of the Chair, the Vice-Chair will:

- 1) Step into the Chair role for the remainder of the term,
- 2) Request that a Voting Committee Member volunteers to assume the Vice-Chair role, and
- 3) Hold an election via email if there is more than one volunteer for the Vice-Chair role.

In the event of the resignation or removal of the Vice-Chair, the Chair will:

- 1) Request that a Voting Committee Member volunteer to assume the Vice-Chair role, and
- 2) Hold an election via email if there is more than one volunteer for the Vice-Chair role.

102.3.3.5 LIAISONS

Each committee may appoint a liaison from their committee to other standards setting bodies that are relevant to their charter. Liaisons are expected to have dual representation in both the IGSA and the other standards setting body, and to

carry messages from the IGSA committee to the other standards setting body. The Committee may remove a liaison from office at any time. The committee Chair or Vice-Chair will make a call for liaison nominations.

102.3.4 Non-Member Participation

Any non-Member, with an interest in membership, may attend, at the invitation of the committee Chair and the approval of the President, for up to two (2) committee meetings without being a Member after having executed the IGSA Non-Disclosure Agreement.

102.3.5 Subject Matter Experts

Subject matter experts are individuals who are experts in their technical area. Subject matter experts who are not employed by Members may be invited to participate in the committee meeting by the committee Chair. Prior to their participation, the committee Chair must ensure that the subject matter expert has executed the Non-Disclosure Agreement and it is on file with the Secretariat.

102.4 Standards Development Process

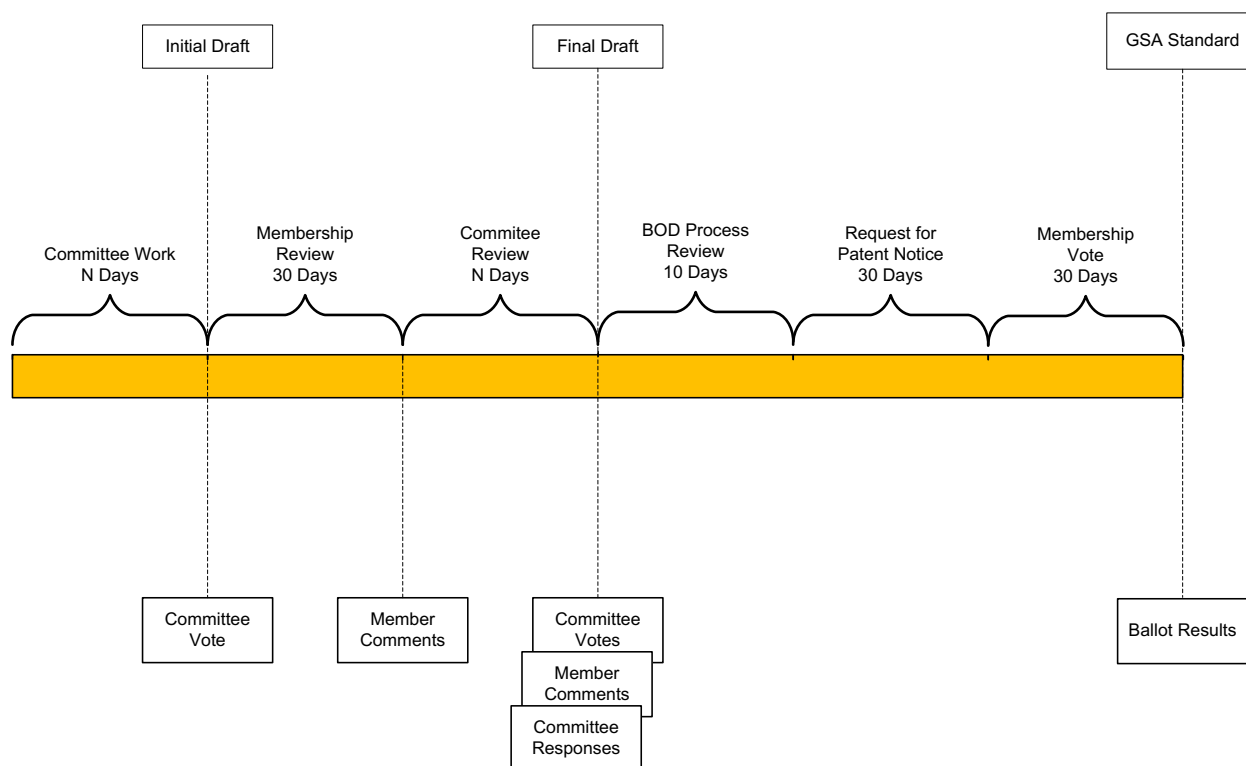
102.4.1 Call For A New Standard

A Member may call for the creation of a new standard, providing they have written support of two (2) other Members. All requesting Members must commit to providing resources for the development of the standard. Members must provide a development plan comprised of an overview of the proposed standard, a draft charter, a development timeline, and an agenda for the initial committee meeting. The development plan must include a recommendation as to whether the work can take place in an existing committee or the creation of a new committee is warranted. The development plan is submitted to the BOD for approval.

From time to time, the BOD may decide it is in the best interest of the industry to provide change management support to an existing or de facto standard developed outside of IGSA. The BOD may, at any time, form a committee that will provide such change management support.

102.4.2 Standards Development Process

Figure 1. Standards Development Process



102.4.2.1 INITIAL DRAFT

After the BOD has approved the call for a new standard, the designated committee is directed to begin work on the Initial Draft of the standard.

When work on the Initial Draft has been completed, it is reviewed by the committee and a formal vote is taken to present it to the membership for review and comments.

102.4.2.2 MEMBERSHIP REVIEW

The Initial Draft of the standard is sent out to the membership for review and comments. IGSA will maintain a list or database of Members who have commented on the Initial Draft. The time period for membership review should be no less than thirty (30) days, unless the BOD shortens such time.

All comments and responses, including any committee ballot results, must be attached to the Initial Draft in an appendix and must remain with it during the development process.

102.4.2.3 FINAL DRAFT

Comments received during the membership review, together with any further required amendments, improvements, and/or changes are incorporated into the Final Draft of the standard. It is important that Members who raised concerns during the membership review are actively included in discussions provide that, when necessary, the Member has opted into the committee.

When work on the Final Draft has been completed, the committee reviews it and a formal vote is taken to accept it as the Final Draft of the standard. If approved, the Final Draft is forwarded to the BOD for process review.

102.4.2.4 BOD PROCESS REVIEW

The BOD examines the committee process to ensure that it has been followed correctly and that the Final Draft of the standard is suitable for publication. Upon approval of the process review, the BOD releases the Final Draft to the Members for a formal vote and a Request for Patent Notice in accordance with IGSA's Intellectual Property Policy.

It is intended that this step not introduce undue delay into the adoption process; therefore, the BOD may vote by telephone poll or email to release the Final Draft of the standard. The time frame for BOD process review should be ten (10) days or less.

102.4.2.5 MEMBERSHIP VOTE

A vote is taken by the Voting Members on accepting the Final Draft as an IGSA standard. The time frame for membership vote on the Final Draft should be no less than thirty (30) days and shall begin thirty (30) days after the Request for Patent Notice has been issued.

102.4.2.6 STANDARD

The Final Draft becomes an IGSA standard if accepted by a simple majority of the Voting Members. The committee then completes the process by removing the appendices containing earlier ballot results, comments, and responses, and publishes the formal standard.

102.4.3 Voting On Standards

102.4.3.1 VOTE TYPES

There are three types of votes allowed - FOR, AGAINST and ABSTAIN.

ABSTAIN indicates the Voting Member has considered the standard, and may not be in a position to vote FOR or AGAINST it. An ABSTAIN vote counts toward quorum.

FOR and ABSTAIN votes can be cast either with or without comments.

AGAINST votes must be cast with comments. AGAINST votes cast without comments will be counted as ABSTAIN votes.

Any votes that are not cast, illegible or spoilt will not be counted. All comments will be submitted to the respective committee for consideration.

102.4.3.2 VOTING PROCESS

The primary representative may vote by email, in writing, by facsimile transmission, postal or courier. The IGSA Secretariat shall maintain a list of primary representatives. Each Voting Member may cast only one (1) vote. All votes and written ballots shall be confidential.

102.4.3.3 DUPLICATE VOTES

If more than one (1) vote is received from a Voting Member only one (1) shall be counted. If all votes received from the Member agree (e.g. two (2) votes FOR adoption) the Members' vote shall be counted as one (1) vote of the appropriate type. If differing votes are received from a Member (e.g. one FOR vote and one or more AGAINST or ABSTAIN vote(s)) the Member's vote will be cast as ABSTAIN. Comments, if any, will be combined.

102.4.3.4 QUORUM

Approval of formal standards requires a quorum of greater than 50% of Voting Members.

102.4.4 Changes To An Existing Standard

Table 1. Release Types and Changes

Release Type	Changes to IGSA Standard	Adoption process
Technical Bulletin	Critical corrections or clarifications to a specific standard or its associated schema.	Committee Vote Designated IGSA Staff Approval
OGC Business Bulletin	Essential business needs/requirements for committee stakeholders.	Committee Vote Board Approval (electronic)
Minor Revision	+ Technical Bulletins + Business Bulletin + Other corrections and clarifications + Extensions, if any + Additional functionality, if any	Formal Committee Vote Membership Review Membership Vote
Major Revision	+ Technical Bulletins + Business Bulletin + Minor Revision + Extensions, if any + Additional functionality, if any	Committee Vote Membership Review Membership Vote

102.4.4.1 TECHNICAL BULLETINS

From time to time, a Technical Bulletin may be published regarding a standard. A Technical Bulletin addresses specific errors or discrepancies within a standard, including typographical errors and non-functional changes to a standard and/or its associated schema. Technical Bulletins are intended to quickly provide critical information to implementers of a standard. They are only issued for specific corrections and clarifications voted on by the Technical Committee; other corrections and clarifications may be reserved by the Technical Committee for the next revision to the standard.



The Technical Committee may only issue Technical Bulletins for schema changes within thirty (30) days following a release of a major or minor revision to a standard without BOD approval. The committee shall use best efforts to strive towards issuing any Technical Bulletins within ninety (90) days following the release of a major or minor revision to a standard.

102.4.4.2 BUSINESS BULLETINS

From time to time, a Business Bulletin may be published regarding Online Gaming Committee (OGC) created standards. An OGC Business Bulletin addresses specific business requirements that are essential for the relevant standards. The OGC Business Bulletins are intended to quickly provide a functional enhancement to implementers of a standard. They are only issued and voted on by the Online Gaming Committee and gain final approval from the BOD via electronic means.

102.4.4.3 MINOR REVISION RELEASE

A minor revision is made to a standard at the discretion of the committee Chair with approval of designated IGSA Staff.

This release is an accumulation of any Technical Bulletins; any other corrections and clarifications; any newly approved extensions (see Section 102.4.8.2 Categories of Extensions for more details); and, any additional functionality approved by the Technical Committee. A release notes document, detailing the changes, is included in the release package along with the updated standard and any associated schema files, if applicable.

102.4.4.4 MAJOR REVISION RELEASE

A major revision is made to a standard at the discretion of designated IGSA Staff with approval of the BOD due to substantial upgrades or changes in functionality.

This release is an accumulation of the previous minor revision of a standard; any Technical Bulletins; any other corrections and clarifications; any newly approved extensions (see Section 102.4.8.2 Categories of Extensions for more details); and, any additional functionality approved by the Technical Committee. A release notes document, detailing the changes, is included in the release package along with the updated standard and any associated schema files, if applicable.

102.4.5 Extensions To Standards

102.4.5.1 EXTENSION FUNCTIONALITY TYPES

An extension is an addition to an existing IGSA standard developed separately from IGSA, or in conjunction with IGSA, for possible eventual inclusion in an IGSA standard. Extensions are created to introduce functionality that may be desired by a particular manufacturer. Only some types of extensions are allowed by IGSA and seen as valid innovations that are accretive to the industry. The following are definitions of the types of functionality that are enabled via extensions:

- **Duplicate functionality** – An extension that allows functionality to be added by a manufacturer that already exists within an IGSA standard. This type of extension is **not allowed**.
- **Differing implementations** – An extension that allows functionality to be implemented differently by a manufacturer requiring other manufacturers to modify their implementation to align to this differencing implementation. This type of extension is **not allowed**.
- **Proprietary functionality** –
 - An extension that allows functionality to be *added* to a standard by a manufacturer that is intended for cross-manufacturer product use and not shared with IGSA. This type of extension is conditionally **allowed** (See Section 102.4.5.2).
 - An extension that allows a functional modification of a standard by a manufacturer that is intended for cross-manufacturer product use and not shared with IGSA. This type of extension is **not allowed**.

- **Intra-Manufacturer functionality** – An extension that allows functionality to be added to a standard by a manufacturer and is intended to be used only with its own products and not shared with IGSA. This type of extension is **allowed**.

102.4.5.2 EXTENSION PROCESS

IGSA allows for the creation of extensions in support of its objective to enable innovation within the Gaming Industry. IGSA requires that any extensions to a Standard that enable proprietary functionality as defined in Section 102.4.5.1 be remitted to IGSA for inclusion in the current Standard within one (1) year from its initial commercial implementation. Intra-manufacturer extensions do not need to be reported to IGSA.

Once approved by the Technical Committee or by the BOD, the extension becomes a standalone document under the ownership of IGSA and is maintained by the appropriate Technical Committee. The functionality represented by the extension may be incorporated in the next minor or major revision of the IGSA standard, whichever comes first (see Section 102.4.8.2 Categories of Extensions for more details). Redundant functionality will be identified by the committee, which will determine if it is necessary.

Extensions are unconditionally donated to IGSA for inclusion into the applicable IGSA standard. Once IGSA accepts the extension, IGSA takes ownership of the document, any associated schema files, and their copyrights. The donor maintains intellectual property rights.

Extensions are treated as part of the normal standard development process. Submitters of extensions must be opted-in to the committee charged with development of the standard being extended.

The following sections describe the process of incorporating extensions into the applicable standard.

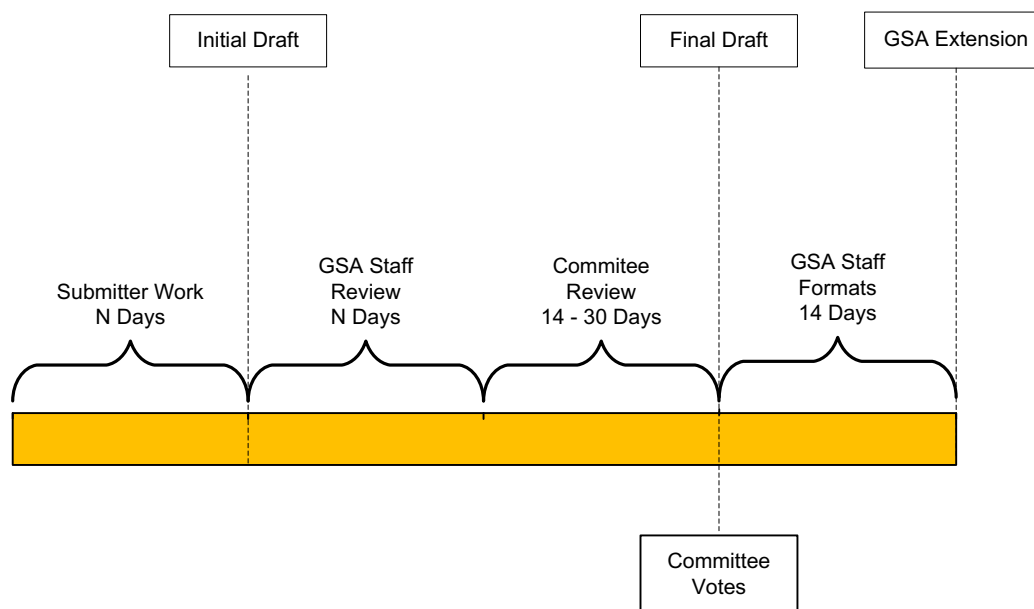
102.4.5.3 EVALUATION OF PROPOSED EXTENSION

- 1) The submitter of an extension will provide the documentation and any associated files (such as schema) for an extension to a standard for evaluation by designated IGSA Staff. IGSA Staff will make every effort to respect the proprietary nature of such submissions.
- 2) The submitter will detail whether the extension has already been reduced to practice, the extent of its implementation, i.e. number of EGMs, casino sites and gaming jurisdictions, whether any other IGSA Members have already implemented this extension and whether the extension required Regulatory Authority approval and if such approval has been received.
- 3) The submitter will identify whether the extension is to be considered an “as is” candidate submission. To qualify as an “as is” extension, it must pass IGSA Staff review as described in Section 102.4.5.3 bullets 4 and 5 below, must have already been implemented in the field, may have required and received Regulatory Authority approval, and may have been implemented by other Manufacturers.
- 4) Designated IGSA Staff will review the documentation and any associated files and provide a report to the submitter within a mutually agreed upon time frame. The extensions will be reviewed on a first come first served basis, regarding:
 - a) Errors – Errors must be corrected prior to inclusion in a version of the protocol. Errors are defined as:
 - i) Schema validation errors, if applicable.
 - ii) Incompatibilities with the existing IGSA schemas, if applicable.
 - iii) Incompatibilities between the schema and documentation, if applicable.

- iv) Incompatibilities that cause the extension not to interoperate with the standard it is extending.
 - v) The use of IGSA-assigned manufacturer IDs or other manufacturer-specific information in error codes, event codes, enumeration values, examples, and other aspects of the protocol and related schemas, if applicable.
 - vi) The use of namespaces and namespace prefixes that do not conform to conventions established from time-to-time by designated IGSA staff.
- b) Recommendations – Recommendations for improvements may be provided to the submitter. Upon submission of the extension to the Technical Committee, any recommendations not implemented by the submitter may be disclosed by designated IGSA staff to the Technical Committee.
 - c) Redundant functionality – The submitter will be informed about the details of any redundant functionality that is included in the proposed extension. Upon submission of the extension to the Technical Committee, redundant functionality will be disclosed by designated IGSA staff to the Technical Committee.
- 5) Designated IGSA Staff will work with the submitter of an extension to resolve any issues, to communicate whether the submission qualifies as an “as is” one, if applicable, and to facilitate the inclusion of the extension in the standard being extended.

102.4.5.4 INCLUSION OF EXTENSIONS IN IGSA STANDARDS

- 1) Once all relevant issues have been resolved, the submitter will submit the documentation and any associated files for the extension to the Technical Committee for consideration. The submitter will also clearly articulate the business need for the extension, the fact that it has already been implemented, identify if Regulatory Authority approval was required and if granted, and WHETHER any other Manufacturers have also implemented it, if Regulatory Approval was required and granted, and if IGSA Staff has accepted the extension as an “as is” submission.
 - a) An “as is” submission, having passed designated IGSA Staff review as described in Section 102.4.5.3 is recommended for submission to the Technical Committee as a secondary review. The committee will process all submissions on a first come first serve basis.
- 2) A mutually agreed upon time frame for evaluating the extension will be determined by designated IGSA Staff, the committee Chair and the submitter. This time frame must not be less than fourteen (14) days. For major extensions, such as new classes, at least thirty (30) days should be allowed. Within the agreed time frame, designated IGSA Staff, the committee Chair, and the submitter will identify deadlines for comments and responses as well as the date planned for the Formal Meeting to vote on the extension.



- 3) Questions and comments from the Technical Committee must be presented to the submitter by the deadline established for the extension. The submitter is under no obligation to respond to questions or comments presented after the deadline.
- 4) The submitter will actively participate with the Technical Committee during this process and will respond within 7 days to questions or comments presented by the Technical Committee. All responses must be submitted at least seven (7) days prior to the date planned for the Formal Meeting to vote on the extension. If the submitter does not respond as required, the committee Chair may suspend or terminate work on the extension. In such cases, the committee Chair, with the approval of designated IGSA staff, may suspend the submitter's right to submit or resubmit extensions for up to three (3) months.
- 5) The time frame for evaluating the extension may be extended by mutual agreement of designated IGSA Staff, the committee Chair and the submitter. In such cases, new deadlines will be announced and a new date for the Formal Meeting to vote on the extension will be established. If the Technical Committee does not adhere to the agreed upon dates or the submitter determines that extensions to those dates are being unreasonably introduced, then the submitter may escalate to designated IGSA Staff for resolution, or directly petition the BOD if IGSA Staff did not resolve the matter to their satisfaction.
- 6) A Voting Committee Member may make a motion at a Formal Meeting to accept the extension into the standard. If the committee does not accept the extension, the submitter may amend it and resubmit for consideration by the committee at a future time or the submitter may request that the BOD review the extension for approval. The BOD may affirm the committee's decision to reject the extension or may reverse the committee's decision and approve it. If the extension is rejected, the submitter may modify and resubmit it to the committee or may withdraw it from consideration. If the BOD approves the extension, they will notify the committee so that an appropriate document may be prepared.
- 7) If the extension is approved, the extension documentation is formatted, and IGSA will release the extension upon final approval by designated IGSA Staff, the committee Chair, and the submitter. Provided that there are no changes to the content of the extension, a final vote by the Technical Committee is not required to release the extension.

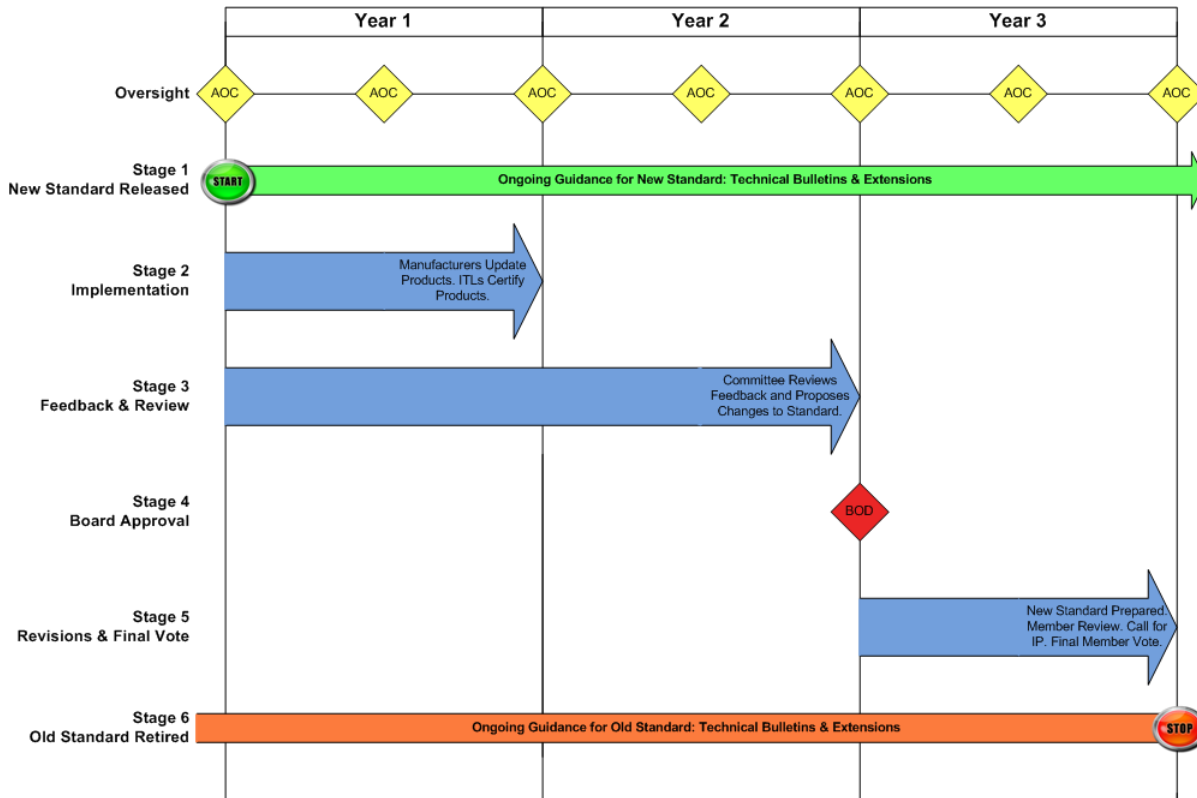
102.4.6 Deprecations from Standards

From time to time, functionality that no longer meets the needs of the industry may be deprecated from an existing IGSA standard. Once deprecation is approved by the Technical Committee or by the BOD, implementers of a standard should no longer support the deprecated functionality unless the functionality is required to maintain interoperability with products using older versions of the standard or to meet operational or jurisdictional requirements. Deprecations may be announced in Technical Bulletins, Minor Versions, or Major Versions of a standard. Deprecated functionality will be identified as such in the next two releases of a standard (Minor or Major Version) and then will be removed from the standard.

A Voting Committee Member may make a motion at a Formal Meeting to deprecate specific functionality from a standard. If the committee does not accept the proposal, the Voting Committee Member may request that the BOD review the proposal. Similarly, if the committee accepts the proposal but a Voting Committee Member objects to the proposal, the Voting Committee Member may request that the BOD review the proposal. The BOD may affirm the committee's decision or may reverse the committee's decision. The BOD will notify the committee of its decision so that appropriate announcements can be made. If the proposal is rejected, the submitter may modify the proposal and resubmit it to the committee or the submitter may withdraw it from consideration.

102.4.7 Change Management

Changes to IGSA standards follow a well-defined 3-year lifecycle. This lifecycle provides an orderly framework for the introduction of new releases of the standards. The diagram below provides an overview of the lifecycle. Further descriptions of the lifecycle stages follow the diagram.



102.4.7.1 OVERSIGHT

Every six months, the Architectural Oversight Committee (AOC) shall meet to assess the industry's progress towards adoption of the standards. At this time, the AOC shall review the Technical Bulletins and Extensions released by the technical committees. The AOC shall also review the progress of the technical committees towards the next release of the standards and the new features planned for that release (see Section 102.6 Publication of New Features).

As a result of this assessment and review, the AOC may provide specific directions to the technical committees to help encourage adoption of the standards. When necessary, the AOC may adjust the lifecycle or new features planned for a particular standard to assure coordinated and successful adoption of the standard by the industry.

102.4.7.2 STAGE 1: NEW STANDARD RELEASED

A new three-year lifecycle starts when a new version of the standards is released by the technical committees (see Section 102.4.2 Standards Development Process).

Following release of the standards, the technical committees shall continuously evaluate feedback from the industry as well as evolving technology and business requirements. When necessary, the technical committee may release Technical Bulletins (see Section 102.4.4.1 Technical Bulletins), Guidelines (see Section 102.5 Guidelines), and/or Extensions (see Section 102.4.5 Extensions to Standards) to provide ongoing guidance to the industry.

102.4.7.3 STAGE 2: IMPLEMENTATION

Following release of a new standard, the members shall begin a coordinated implementation of the new standard. As appropriate, IGSA staff shall provide updates and education on the new standards; test tool suppliers shall incorporate the new standards into their products; independent test labs shall update their test procedures to incorporate the new standards; manufacturers shall implement the new standards in their products.

By the end of the implementation stage, manufacturers should have completed their implementations of the new standards and begun the certification process; operators can expect delivery of interoperable products that conform to the new standards.

102.4.7.4 STAGE 3: FEEDBACK & REVIEW

Throughout the three-year lifecycle, stakeholders shall have the opportunity to express their views on the standards and provide suggestions for changes and improvements – especially in light of evolving technology and new business requirements. The technical committees shall record all such feedback within IGSA's change management system for review and evaluation.

The technical committees shall submit the summary of the proposed new features to the IGSA Board for Approval, including any interim extensions that were released as part of the previous version of the standard. The summary must also identify any backward incompatibilities caused by the corrections or clarifications as well as any duplication of functionality introduced by the proposed extensions.

102.4.7.5 STAGE 4: BOARD APPROVAL

The IGSA Board shall review and approve the proposed new features to the standards. As part of the approval process, the IGSA Board may direct the technical committees to omit certain proposed new features to the standards.

102.4.7.6 STAGE 5: REVISIONS & FINAL VOTE

Once the proposed new features have been approved by the IGSA Board, the technical committees and IGSA staff shall prepare the next release of the standards, distribute the release for member comment, address member comments, and then submit the release to the IGSA Board for process review, call for IP, and, finally, member vote (see Section 102.4.2 Standards Development Process).

Once ratified by the members, IGSA staff, beginning a new three-year lifecycle for the standards, shall publish them.

102.4.7.7 STAGE 6: OLD STANDARDS RETIRED

After two complete three-year lifecycles, the old releases of the standards shall be retired. At that time, IGSA staff and the technical committee shall stop providing guidance on the old releases. Manufacturers may submit products that use a retired release to independent test labs for compliance testing. Independent test labs may offer opinions regarding compliance with the retired release. However, IGSA will only certify products found to be in compliance with releases that have not been retired. When necessary, the AOC may defer retirement of a release to meet the needs of the industry.

102.4.8 Categorization of Standards

IGSA has a long-term goal of creating standards that allow manufacturers and operators to deploy products without concern for lack of interoperability due to incompatibilities between different versions of the same standard. An implementation of an older version of a standard should interoperate with an implementation of a newer version at the level of the older version of the standard.

Thus, IGSA discourages any changes to standards that would affect interoperability, especially changes to the syntax or normative rules of the standard. When existing functionality does not meet industry needs, IGSA prefers that the existing functionality be replaced by new functionality and that the existing functionality be deprecated from the standards. To preserve interoperability, the existing functionality should not be changed even if it no longer meets industry needs.

However, IGSA recognizes that issues may arise when new functionality is first released and that the most expeditious method for dealing with the issues may be to release Technical Bulletins that resolve the issues by changing certain aspects of the new functionality. These changes may include changes to the syntax and normative rules of the new functionality. However, once a standard has been widely implemented and deployed, the Technical Committees should not make any such changes.

IGSA also recognizes that, over time, certain functionality within a standard may no longer meet the needs of the industry and may be deprecated from the standard (see Section 102.4.6 Deprecations from Standards for more details). At the same time, new replacement functionality may be introduced to meet the ongoing needs of the industry (see Section 102.4.5 Extensions to Standards for more details). Such changes may be unavoidable. They may affect interoperability between products. Thus, before choosing to support a specific extension or to not support a specific deprecation, implementers should carefully evaluate requirements for interoperability with products using older versions as well as operational and jurisdictional needs.

102.4.8.1 CATEGORIES OF STANDARDS

To help the industry gauge the stability of a standard and, thus, the likelihood of any changes, IGSA categorizes its standards in the following manner.

Category	Description
----------	-------------

Candidate Standard	The standard is ready for initial implementations. Members are encouraged to reduce the standard to practice. Changes to the syntax and normative rules should be expected.
Proposed Standard	Initial implementations have been completed. The standard has been reduced to practice and deployed by operators. Very few changes to the syntax and normative rules should be expected.
Recommended Standard	The standard has been widely deployed by operators and thoroughly tested. No further changes to the syntax and normative rules should be expected.

The first release of a standard will typically be categorized as a Candidate Standard. On rare occasions, the first release may be categorized as a Proposed Standard. The Technical Committee will make this choice responsible for the standard based on the number of implementations and deployments prior to the release. The first release will never be categorized as a Recommended Standard. Only the Architectural Oversight Committee (AOC) may elevate a standard to a Recommended Standard.

Subsequently, when a new release of a standard is completed (see Section 102.4.7 Change Management for more details), the Technical Committee will recommend to the AOC how the new release should be categorized. The AOC, based on the Technical Committee's recommendation and additional input from IGSA members, will then make a final determination regarding the categorization of the new release.

102.4.8.2 CATEGORIES OF EXTENSIONS

Like standards, IGSA also categorizes the extensions to standards. Extensions are categorized in the following manner.

Category	Description
Candidate Extension	The extension is ready for initial implementations. Members are encouraged to reduce the extension to practice. Changes to the syntax and normative rules should be expected.
Proposed Extension	Initial implementations have been completed. The extension has been reduced to practice and deployed by operators. Very few changes to the syntax and normative rules should be expected.
Recommended Extension	The extension has been widely deployed by operators and thoroughly tested. No further changes to the syntax and normative rules should be expected.

When an extension is made to a standard, the extension will initially be published as a standalone document (see Section 102.4.5 Extensions to Standards for more details) and categorized by the Technical Committee as a Candidate Extension or a Proposed Extension. Subsequently, when a new release of the standard is being prepared (see Section 102.4.7 Change Management for more details), the Technical Committee will recommend to the AOC whether the extension should be included in the standard and how the extension should be categorized in the next release.

For example, the Technical Committee might recommend that an extension, which was previously released as a Candidate Extension and has been widely deployed, be promoted to a Proposed Extension and be included in the standard.

Conversely, the Technical Committee might recommend that a similar extension, which was scarcely implemented, remain a Candidate Extension and not be included in the standard.

Within a standard, each extension will be clearly identified along with its current categorization – Candidate Extension, Proposed Extension, or Recommended Extension. Different extensions may have different categorizations. For example, some extensions may be Recommended Extensions while other extensions may be Candidate Extensions or Proposed Extensions. However, an extension will never be assigned a higher categorization than the standard being extended. For example, an extension cannot be a Recommended Extension unless the standard being extended has already been elevated to a Recommended Standard.

102.4.8.3 CERTIFICATION OF STANDARDS

A certain level of stability is required before IGSA certification can be offered for a standard. Thus, IGSA certification will only be offered for Proposed Standards, Proposed Extensions, Recommended Standards, and Recommended Extensions. IGSA certification will not be available for Candidate Standards or Candidate Extensions.

102.5 Guidelines

From time-to-time, a Technical Committee may develop guidelines to support the implementation of an IGSA protocol. The guidelines may be developed at the request of a Technical Committee Member or the Board of Directors. A Non-Technical Committee may forward a recommendation for guidelines document development to the Board of Directors for approval.

When requested by a Technical Committee Member, the Technical Committee shall take a formal vote on whether to develop the guidelines.

When work on the guidelines document has been completed, the Technical Committee will take a formal vote on the guidelines. Once approved by the Technical Committee, designated IGSA staff will publish the guidelines, making them available to the IGSA membership. If the guidelines were developed at the request of the Board of Directors, designated IGSA staff will also notify the Board of Directors that the guidelines have been completed.

102.6 Publication of New Features

Summary descriptions of all new features to a protocol, including extensions submitted by manufacturers under Section 102.4.5 Extensions to Standards shall be posted to the IGSA website and shall be made available to the public as soon as practical after being submitted to the Technical Committees. Designated IGSA staff shall be responsible for identifying the new features that should be posted under this policy and for determining appropriate descriptions for the new features.

102.7 Technical Committee Document Management

102.7.1 Standard And Extension Versioning

Standards and extensions will be treated as separate documents each with their own versioning system. However, when a standard moves to a next major or minor revision, any approved extensions will be incorporated into that revision.

102.7.1.1 STANDARD VERSIONING

M.m MAJOR. minor

For example: 1.2.

102.7.1.2 EXTENSION VERSIONING

M.A.r MAJOR. Alpha. revision

MAJOR: refers to the MAJOR standard version on which the extension is based.

Alpha: sequential alphabetical letter assigned to an extension by designated IGSA Staff when the Technical Committee has accepted the extension.

Revision: incremental number (starting at 1) for any revisions to the extension.

102.7.1.3 DRAFT STANDARD AND EXTENSION VERSIONING

If the Technical Committee intends to make changes that would result in a MAJOR revision, the designated IGSA Staff will convey the committee's intent to the BOD for approval.

The Technical Committee will select the next appropriate version number for the changes intended unless directed otherwise by designated IGSA Staff.

For example, given that the current release of a protocol is version 2.1 and a minor revision is planned, the version number selected will be version 2.2

The first draft version of a standard will be the next version number of the standard under revision followed with the word DRAFT and a number indicating the draft revision.

For example: if the committee intends to release version 2.1 the first draft would be 2.1 DRAFT 1. Subsequent revisions will increase the draft number by 1. For example, the second draft of version 2.1 will be 2.1 DRAFT 2 etc.

Upon a successful vote of the Membership, the word DRAFT and the draft revision will be dropped from the version number and the standard will be ready for distribution.

102.7.2 Guidelines Versioning

Release r Release revision

Revision: incremental number (starting at 1) for any revisions to the Guidelines.

For example: Release 2

102.7.3 Availability Of Technical Committee Documents

Documents developed and published by Technical Committees are available only to Members (may be made available to non-Members at BOD discretion).

IGSA Standards (Major Revisions, Minor Revisions, and Extensions) are posted for download on IGSA's website.

Technical Bulletins and FAQs are released through IGSA's change management system and via email notification.

Other documents developed and published by IGSA committees, such as Guidelines, may also be published on IGSA's website.

102.7.4 Document Types

The following table identifies the types of documents that may be produced by a Committee.

Table 2. Committee Document Types

DOCUMENT TYPE	DESCRIPTION	Versioning	Example
Contribution	Member contributions to existing IGSA standards and proposals for new standards or new functionality.	JIRA	GSMP-145
Draft Standard	See Standards Development Process.	vN.n Draft X	G2S v1.1 Draft 3
Standard	See Standards Development Process. Normative.	vN.n	G2S v1.1
Extension	See Incorporation of Extensions into Standards. Normative.	vNa	G2S Ext 1g1
Schema	Schema defines the syntax, as well as some semantic information such as default values, for IGSA's XML based standards. Normative.	namespaces	g2s2
Release Notes	Document identifying the changes made in a version of an IGSA standard, extension, certification requirements document, etc.	Date only	G2S v1.1 Release Notes 2010/04/22
Package Lists	Document identifies the contents of a published IGSA standard ZIP package.	Date only	G2S v1.1 Package List 2011/03/04
Tech Bulletin	See Changes To An Existing Standard. Normative.	JIRA + Date	IGSATB-23
Product Certification Requirements	Document identifying the requirements that a product must meet to conform to an IGSA standard in order to be certified in the IGSA Certification Program.	Release N	G2S v1.1 Certification Requirements Release 2
Guidelines	Guidelines provide practical information for users and implementers of IGSA standards. Guidelines can cover a range of topics, such as implementation of IGSA standards, use and implementation of other technologies and standards as relates to IGSA standards, or the use and configuration of products that implement IGSA standards. Guidelines are ultimately intended to help ensure successful deployments.	Release N	XXX Guidelines Release 1
FAQs	FAQs provide helpful information to implementers about published IGSA standards, offering guidance and best practices for the implementation of IGSA standards and for interoperability. FAQs may provide extended discussion about existing functionality in a standard, refer to content within a standard, refer to Technical Bulletins, provide examples, and may use more "friendly" language to assist those new to IGSA standards.	JIRA	IGSAFAQ-44

POLICY 103. INTELLECTUAL PROPERTY

103.1 Purpose of Patent Policy

The purpose of the IGSA Patent Policy is to encourage the full and prompt disclosure by IGSA Members of information regarding the existence of Patents that may apply to IGSA's standards-setting activities. It is intended to facilitate an atmosphere of cooperation within IGSA and between its Members in developing the best possible open industry standards. The Patent Policy is premised in large part upon each Member's Good Faith and forthrightness in making disclosures of relevant Patents in a timely and complete fashion. The Patent Policy is intended to inure solely to the benefit of IGSA and its Members.

103.2 Inclusion of Patents in IGSA Standards

IGSA may adopt a standard requiring the use of patented technology, provided such standard is justified based upon technical reasons and further provided that a license to such patented technology is made available to all IGSA Members under commercially reasonable terms and conditions that are demonstrably free of any unfair discrimination, as set forth below.

103.3 Disclosure of Patents

(a) Disclosures for Technical Purposes

In accordance with the time frames set forth in Section 103.4(a), and in response to a Request for Patent Notice issued by IGSA, Members should provide IGSA with a Written Response solely for purposes of technical evaluation by the applicable IGSA committee. Provided that such Written Response is timely submitted and submitted in Good Faith in accordance with this Section 103.3(a), such Written Response may be supplemented by such Member, as desired, until a Request for Patent Notice is issued by IGSA in accordance with Section 103.4(b) or 4(c). Any Written Response submitted by a Member in accordance with this Section 103.3(a) shall not, under any circumstances, obligate such Member to license the Necessary Patents (as defined in Section 103.10(g)(i)).

Written Responses shall contain the names, numbers, and countries of all disclosed Necessary Patents (as defined in Section 103.10(g)(i)). If a Member is legally or contractually prohibited from licensing or sublicensing, as applicable, one or more such Necessary Patents (as defined in Section 103.10(g)(i)), such Member shall disclose the existence of such prohibition with respect to such one or more Necessary Patents (as defined in Section 103.10(g)(i)).

Any Written Response of a Member shall include a statement that the Member acted in Good Faith in providing the response and that the Written Response is submitted based upon the Member's Reasonable Knowledge.

(b) Disclosures for Licensing Purposes

In accordance with the time frames set forth in Section 103.4(b) or (c), and only in response to a Request for Patent Notice issued by IGSA, Members shall provide IGSA with a Written Response. Once a Member has submitted a proper Written Response to a given Request for Patent Notice, all duties of said Member with respect to the given Request for Patent Notice should be deemed fulfilled.

Written Responses shall contain the names, numbers, and countries of all disclosed Necessary Patents (as defined in Section 103.10(g)(ii)). If a Member is legally or contractually prohibited from licensing or sublicensing, as applicable, one or more such Necessary Patents (as defined in Section 103.10(g)(ii)), such Member shall disclose

the existence of such prohibition with respect to such one or more Necessary Patents (as defined in Section 103.10(g)(ii)).

Any Written Response of a Member shall include a statement that the Member acted in Good Faith in providing the response and that the Written Response is submitted based upon the Member's Reasonable Knowledge. Any Member wishing to object that another Member was not acting in Good Faith must do so within ten (10) Days of the Written Response due date and submit such dispute to binding arbitration pursuant to Section 103.14 below.

Notwithstanding anything in this Patent Policy to the contrary, under no circumstances shall a Member be obligated to breach or violate any pre-existing obligation limiting disclosure and there shall be no penalty to such Member for such non-disclosure or such inability to license. Members shall not include or agree to include provisions within their third-party agreements for the primary purpose of intentionally circumventing and/or attempting to circumvent their obligations under this Section 103.3.

103.4 Request for Patent Notice

Requests for Patent Notice may be issued by IGSA at the following times:

- (a) within ten (10) Days after the approval or amendment of the committee charter by the Board of Directors, in accordance with Section 103.3(a);
- (b) whenever the membership is requested to comment on an Initial Draft standard or addendum to a standard in accordance with Section 103.3(b); or
- (c) whenever the membership is requested to vote on a Final Draft standard or addendum, in accordance with Section 103.3(b), provided that such Request for Patent Notice issued pursuant to this Section 103.4(c), shall be issued no later than thirty (30) Days prior to any requested vote on a final standard or addendum thereto.

Members shall provide a Written Response to each Request for Patent Notice under Section 103.4(a) and 3.4(b) within forty-five (45) Days of issuance by the IGSA of such Request for Patent Notice. Members shall provide a Written Response to each Request for Patent Notice under Section 103.4(c) within thirty (30) Days of issuance by the IGSA of such Request for Patent Notice.

In addition to responding to any outstanding Requests for Patent Notice, all new Members shall disclose any and all Necessary Patents (as defined in Section 103.10(g)(i) or (ii), as applicable) relating to the most current pending draft standards and addenda and adopted standards and addenda. Such new Member shall have forty-five (45) Days to complete and submit such disclosure.

Notwithstanding any other provision herein, under no circumstances can the scope of a Request for Patent Notice exceed the scope of the relevant committee charter under which such a Request is issued.

Under the direction of the Executive Committee, for each committee's standard no more than one (1) Request for Patent Notice in any given calendar quarter, and no more than three (3) Requests for Patent Notice in any given calendar year shall be issued.

Other than as set forth above, a Request for Patent Notice may not be issued.

103.5 Statement from Committee Member

Following IGSA's issuance to Members of a Request for Patent Notice under Sections 3.4(b) or 3.4(c), all Members shall provide a Written Response to the Request for Patent Notice. In addition to providing a Written Response to the Request for Patent Notice, all Committee Members shall concurrently with submitting their Written Response provide written notice to the committee that:

- (a) the Committee Member has no Necessary Patents (as defined in Section 103.10(g)(i) or (ii), as applicable) to declare; or
- (b) the Committee Member has one or more Necessary Patents (as defined in Section 103.10(g)(i) or (ii), as applicable) to declare, along with the information requested in accordance with Section 103.3, and the Committee Member shall indicate whether Section 103.5(b)(i) or 3.5(b)(ii) applies with respect to each Necessary Patent (as defined in Section 103.10(g)(ii)) disclosed;
 - (i.) that a non-exclusive license to the Essential Claim(s) of the Necessary Patents (as defined in Section 103.10(g)(ii)), will be made available by the responding Committee Member to other Members desiring a license, without charge or compensation, for the sole purpose of Practicing the adopted IGSA standard; or
 - (ii.) that a non-exclusive license to the Essential Claim(s) of the Necessary Patents (as defined in Section 103.10(g)(ii)) will be made available by the responding Committee Member to other Members desiring a license, on commercially reasonable terms and conditions and demonstrably free of any unfair discrimination, for the sole purpose of Practicing the adopted IGSA standard.

Non-Committee Members shall not be required to elect between the non-exclusive licenses of subparts (i) or (ii).

Notwithstanding any other provision herein, under no circumstances can the scope of the licensing requirements of this patent policy exceed the scope of the relevant Committee Charter under which the Standard applies. This non-licensing exception shall include all existing or future instances of a protocol or Standard exceeding the Scope of its respective Committee Charter.

If any Member objects to the commercial reasonableness of the terms and conditions of the license or that such license is not demonstrably free of any unfair discrimination, such issue shall be decided by arbitration pursuant to Section 103.14.

Prior to the expiration of the thirty (30) Day timeframe set forth in Section 103.4(c), a Committee Member may, acting in Good Faith, make a written request to the IGSA's Chairman or President for an extension of such time of no more than an additional fifteen (15) Days when it knows or should have known its Written Response to a Request for Patent Notice was incomplete and said request shall not be unreasonably denied.

103.6 Failure to Respond to Request for Patent Notice

Notwithstanding anything in this Patent Policy to the contrary, if a Committee Member fails to provide a Written Response or provides a Written Response to a Request for Patent Notice under Section 103.4(b) or 3.4 (c) but fails to identify one or more Necessary Patents (as defined in Section 103.10(g)(ii)) in its Written Response, then it shall automatically be deemed that such Committee Member has agreed to make licenses available to the Essential Claim(s) of all non-identified Necessary Patents (as defined in Section 103.10(g)(ii)) in accordance with the terms set forth in Subsection 103.5(b)(ii) above.

103.7 Record of Patent Holder's Statements

A copy of all Written Responses from Members to Requests for Patent Notice shall be marked and treated as "IGSA Confidential" and placed and maintained in IGSA's files. The substance of all Written Responses shall be made available for review by all IGSA Members but shall be treated as and subject to all obligations associated with the IGSA Confidential designation.

All Members acknowledge and agree that a Written Response to a Request for Patent Notice shall not be referenced or used in any manner in asserting antitrust claims against other Members.

103.8 Notice in Standards

IGSA shall include the following note in the appropriate standard:

Note: The user's attention is called to the possibility that compliance with this standard may require use of an invention covered by patent rights.

By publication of this standard, IGSA takes no position with respect to the validity of any such patent rights or their impact on this standard. Similarly, IGSA takes no position with respect to the terms or conditions under which such rights may be made available from the holder of any such rights. Contact IGSA for further information.

103.9 Responsibility for Identifying Patents and Resolving Disputes

IGSA shall not be responsible for identifying Necessary Patents (as defined in Sections 3.10(g)(i) and(ii)), or for conducting inquiries into the legal validity or scope of those Patents that are brought to IGSA's attention. If the issues of whether any license terms and conditions are "commercially reasonable" and/or "demonstrably free of any unfair discrimination" are raised during the standard approval process or in a petition for withdrawal of a standard, IGSA shall not be responsible for resolving such matters. Nothing contained herein, however, shall operate to limit any Member's right to privately pursue its legal rights and remedies in regard to such matters, whether arising under this Patent Policy or otherwise. In the event that a Member pursues its legal rights arising out of or related to this Policy in a court of law or through arbitration against another Member as provided herein, the prevailing party in such action shall be entitled to reasonable attorney fees and costs incurred in any such action. In the event IGSA is forced by a Member to participate in any legal action related to this Patent Policy, including any action to construe or enforce any provision hereof, IGSA shall be entitled to recover its reasonable attorney's fees and costs incurred therein from the Member.

103.10 Definitions

- (a) "Affiliated Entities" shall mean a parent, subsidiary or related entity that: (i) owns or controls more than fifty-percent (50%) of the voting stock of the Member (or similar rights of control); or (ii) of which the Member owns or controls more than fifty-percent (50%) of the voting stock (or similar rights of control) and that designs, distributes, manufactures, operates, or holds intellectual property pertaining to gaming equipment or its associated/peripheral equipment within the casino gaming industry.
- (b) "Committee Member" shall mean any Member Participating in any committee as defined herein.
- (c) "Days" shall mean calendar days.
- (d) "Essential Claims" shall mean all claims in any Necessary Patent (as defined in Section 103.10(g)(ii)) having a publication date prior to the publication of the Initial Draft standard or addendum to a standard, in any jurisdiction in the world, that would necessarily be infringed by Practicing the proposed or approved standard.
- (e) "Good Faith" shall mean that a Member's actions were both (1) objectively reasonable under the circumstance; and (2) not conducted with intent to defraud.
- (f) "Member" shall include any and all IGSA Members and Affiliated Entities of an IGSA Member.
- (g) "Necessary Patent" shall mean:
 - (i) for purposes of Section 103.3(a), any Patent which a Member owns or controls pursuant to the terms of this Patent Policy, which may be necessary to Practice the proposed IGSA standard.

- (ii) for purposes of Section 103.3(b), any Patent which a Member owns or controls pursuant to the terms of this Patent Policy, which would be necessary to Practice the proposed or adopted IGSA standard. Notwithstanding anything in this Patent Policy to the contrary, a Patent shall not constitute a Necessary Patent if it is possible for all Members to Practice the IGSA standard without requiring a license from any other Member.
- (h) “Participating” for purposes of Section 103.13 hereof and the definition of “Committee Member” shall mean membership on a committee more than twenty (20) Days after formal notice to the Committee Members of the approval or any amendment to the applicable committee charter by the IGSA Board of Directors. Following such formal notice, Members must within the above mentioned twenty (20) Days inform IGSA’s Secretariat that they will or will not participate on such committee. Failure to timely submit an affirmative written opt-in notice or upon the submission of an affirmative withdrawal as a Committee Member, such Member shall not be considered to be a participating Committee Member and provisions of Section 103.13 hereof shall no longer apply.
- (i) “Patent” shall mean any and all published applications pending and patents issued in the United States of America and other jurisdictions worldwide that have not gone abandoned or have not been adjudicated to be invalid or unenforceable.
- (j) “Practicing” shall mean the implementation of a relevant standard solely to transfer information or messages from endpoint to endpoint and shall not include the use of such information or messages before or after such a transfer.
- (k) “Reasonable Knowledge” shall mean each Member shall designate an individual who is competent to personally search the records of the Member for Necessary Patents (“Designee”). The company shall insure that Designee has access to such Member’s Patents and agreements specifically related to Necessary Patents as is reasonably necessary to conduct an internal search to provide the Written Response pursuant to Section 103.3(a) or 3.3(b), as appropriate. This Designee shall be responsible for individually conducting a search for Necessary Patents. Reasonable Knowledge shall be defined as the personal knowledge of Designee and knowledge of the Member shall not be imputed to the Designee. For purposes of clarification, Members shall not be obligated to provide support personnel to assist the Designee. Except as required to fulfill its obligations in accordance with this Section 103.10(k), no Member shall have a duty to conduct a search.
- (l) “Request for Patent Notice” shall mean written notice sent by IGSA via facsimile or electronic mail to the Member’s or Committee Member’s, as applicable, designated primary and legal representatives and requesting disclosure of any and all Necessary Patents identified in the notice.
- (m) “Written Response” shall mean a Member’s written notice sent to IGSA via facsimile or electronic mail or registered mail and disclosing any and all Necessary Patents identified in the Request for Patent Notice.

103.11 No Retroactive Effect

This Patent Policy is not intended to enhance, diminish or otherwise alter any pre-existing rights or obligations of any IGSA Member.

103.12 Effect of Participation in Disclosure Process

By participating in IGSA generally and, specifically, in the process outlined in this Patent Policy, no Member shall be deemed to have agreed, consented or otherwise taken any position with respect to the validity or enforceability of any Necessary Patent disclosed by any Member, nor be deemed to have agreed, consented or otherwise taken any position with respect to a standard’s or addenda’s infringement of a Necessary Patent disclosed by any Member.

103.13 Effect of Termination of Membership

Except as otherwise provided herein, all parties that are Members of the applicable committee shall be required to provide a Written Response to the Request for Patent Notice in accordance with Sections 3.3(b) and 3.5 above, provided however that such Request for Patent Notice was issued in accordance with this Patent Policy. If a Member terminates its membership on a committee or with IGSA at any time after Participating in the applicable committee, such Member shall only remain bound by obligations existing as of the time of such Member's termination and shall not be responsible for responding to any Requests for Patent Notices that are made subsequent to the date of such termination or any associated licensing obligations. No changes made to the Patent Policy and/or committee charter after such Member's termination shall apply to such Member. Termination of membership shall not relieve or excuse such Member from complying with Sections 3.3(b) and 3.5 or any other obligations imposed by this Patent Policy for actions or inactions of such Member that occur prior to the date of such Member's termination or membership status change.

103.14 Arbitration

Any arbitration conducted pursuant to the provisions of this Patent Policy shall be governed by the following procedures:

Arbitration Notice. Within ten (10) Days of objecting on the grounds that another Member or group of Members: (i) has not acted in Good Faith for any reason pursuant to this Patent Policy; (ii) the terms of the license offered pursuant to Section 103.5(b)(ii) are not reasonable; or (iii) the license offered pursuant to Section 103.5(b)(i) or 3.5(b)(ii) is not demonstrably free of any unfair discrimination, the objecting Member or Members must send a written notice submitting the dispute to arbitration to the designated primary representative and legal representative of such other Member or group of Members, as applicable. If any objecting Member fails to send such a written notice within this ten (10) Day period, the objection shall be deemed withdrawn as to such Member. In the event that more than one Member objects and submits the same or similar disputes to arbitration against another Member, such disputes shall be consolidated into a single arbitration against the common defendant Member.

AAA. The commercial rules of the American Arbitration Association ("AAA") shall apply to the arbitration, except to the extent the provisions of this Patent Policy provide otherwise or the Members participating in the arbitration otherwise agree.

1. **Panel.** The arbitration shall be heard and determined by three arbitrators, who are not required to be members of AAA.
2. Any Member asserting that another Member or group of Members provided an incomplete response or did not act in Good Faith must prove such assertion clearly and convincingly.
3. **Expedited Schedule.** The arbitration shall be conducted on an expedited schedule. Unless otherwise agreed by the Members participating in the arbitration, discovery shall be conducted such that the hearing shall commence within one hundred and eighty (180) Days after appointment of the panel. The hearing shall be completed within sixty (60) Days thereafter.
4. **Discovery.** The Members participating in the arbitration shall be entitled to discovery of all documents and information reasonably necessary for a full understanding of the dispute raised in the arbitration. The participating Members may use all methods of discovery available under the United States Federal Rules of Civil Procedure, including depositions, requests for admission and requests for production of documents. The time periods applicable to such discovery methods shall be set by the panel so as to permit compliance with the scheduling provisions of Section 103.14(d).
5. **No Compromised Awards.** Each Member or Group of Members involved in arbitration pursuant to this Patent Policy shall propose an arbitration outcome, and any result of the arbitration shall be the selection of one of the proposed outcomes. No compromised award between proposed outcomes shall be permitted. In the event that multiple objections and submitted disputes have been consolidated into a single arbitration against a common defendant Member, then this Section 103.14(f) shall not apply.

6. **Prompt Award.** The award shall be made promptly by the panel and no later than thirty (30) Days from the closing of the hearing. The prevailing Member shall be awarded its reasonable attorney's fees and costs, and shall be entitled to a reimbursement of any costs paid to the AAA.
7. **Binding Decisions.** The decision or award rendered or made in connection with the arbitration shall be final and binding upon all Members. The prevailing Member may present the decision or award to any court of competent jurisdiction for confirmation pursuant to the provisions of the Federal Arbitration Act, 9 U.S.C. §§ 1-14, and such court shall enter forthwith an order confirming such decision or award.
8. **Location.** The proceeding before the panel shall be held in Las Vegas, Nevada, or as otherwise agreed upon by the Members participating in the arbitration.
9. **Governing Law.** The arbitration shall be governed by, construed, and enforced in accordance with the laws of the State of Nevada (excluding Nevada conflict of law rules, which would refer to and apply the substantive laws of another jurisdiction).

103.15 Policy Modification

The IGSA Patent Policy may only be changed and or modified with the approval of seventy five percent (75%) of the board of directors.

103.16 Licensing

The International Gaming Standards Association (IGSA) has set forth the following policies in regard to obtaining licensing agreements on patented technology that may be contained in both draft and final IGSA documents:

1. IGSA shall not negotiate or otherwise become involved in the terms or conditions of any licensing by or between members or third parties; the party desiring to utilize licensable technology shall work directly with the owner of the technology to negotiate any desired licensing arrangements.
2. Should patented technology be donated to IGSA by a Member or third party, or otherwise made freely available for use, if requested by the Member or third party IGSA may act as an administrative agent for same solely insofar as maintaining licensing agreements and related records on behalf of same.

103.17 Intellectual Property

103.17.1 IP.COM

At the end of every committee meeting the Chair shall identify with the advice of the committee members any significant idea discussed during the meetings that should be filed with IP.com to establish prior-art. The Technical Committee Chair will submit the IP.com form to the Secretariat for processing.

103.17.2 Dissolution of IGSA

Upon dissolution of the organization, the International Gaming Standards Association (IGSA) shall donate to the public domain any and all intellectual property it may possess, acquire, develop or have rights to.

POLICY 104. BOARD OF DIRECTORS

104.1 BOD Election and Service

104.1.1 Eligibility

- 1) A candidate for the BOD must be a representative of a Platinum or Gold Member in good standing.
- 2) A candidate must declare their intention of being a candidate for the board election in writing.
- 3) No Member may have more than one representative as a candidate in the board election.

104.1.2 Board composition

- 1) The BOD shall have no more than fifteen (15) available voting seats.
- 2) Up to eleven (11) voting seats shall be reserved for Platinum members, with the remaining four (4), at large voting seats available to Gold or Platinum members.

104.1.3 Election and term

- 1) The BOD election shall occur in January of each year and be administered by the Secretariat.
- 2) Platinum Members will elect Directors for the 11 Platinum-reserved voting board seats from the Platinum candidates, for a 1-year term.
- 3) Voting Members will elect Directors for the 4 at-large-reserved voting board seats from the Platinum and Gold candidates, for a 1-year term.
- 4) Chairs and Vice-Chairs serve for a maximum of three (3) consecutive terms. If upon the expiration of the three (3) year term, no other candidate for the given position comes forward, the term will be extended by one (1) year, and each consecutive year, if necessary.

104.1.4 Vacancies, resignation and removal of directors

- 1) If a board seat vacancy occurs by reason of resignation or removal of a Director, then the BOD may, at its discretion, appoint a replacement to serve the balance of the term of the vacated seat or call an election.
 - a) If the director was elected to a Platinum-reserved board seat, then the appointment will take place from the available pool of unelected Platinum Member companies in good standing, including that of the resigning director.
 - b) If the director was elected to an at-large-reserved board seat, then the appointment will take place from the available pool of unelected Platinum and Gold Member companies in good standing, including that of the resigning director.
- 2) If a Director's company downgrades their membership prior to completion of the term, they shall vacate their seat immediately.

- 3) In the event of a merger or acquisition of Member companies which both hold Board seats results in a single entity, the Member companies may retain both seats until the end of the membership year but they are only allowed to have one vote.
- 4) A Director who has failed to attend two (2) consecutive board meetings may be removed by majority vote of all Directors. Such vote may only be taken at a duly called board meeting, and only if the Director in question missed both of the immediately preceding board meetings. The Director in question, if present, is eligible to vote.
- 5) Any Director may be recalled by a two-thirds vote of the voting general membership at a duly called regular or special membership meeting. Votes to recall more than one Director must be taken separately. All such votes shall be by secret ballot.
- 6) The board may declare vacant the office of a Director for the reasons set forth in the California Nonprofit Mutual Benefit Corporation Law.

104.1.5 Board meeting attendance

- 1) Only the elected Director may attend board meetings.
- 2) Directors may invite guests to board meetings, only after being granted approval by the Executive Committee.
- 3) IGSA legal counsel may be present at any board meeting, without prior invitation.
- 4) Platinum members, not elected to a voting board seat, may assign a single representative, for a one-year term, to attend BOD meetings in a non-voting capacity and may have access to board email lists, minutes and records. Platinum members may change their assigned representative to the BOD; however, such changes may not occur more than one time per election year

104.1.6 Member Participation in Board Meetings

The Board will invite IGSA Members to participate in the open session of designated board meetings. Only IGSA Members in good standing may attend the open session of the board members.

1. Designated board meetings start with the open session.
2. Members must be dialing into the open session within the first 10 minutes of the board meeting and will be given the opportunity to ask questions or discuss any topics with the board members.
3. During the open session the chairman might ask any of the members to provide more details to be discussed at the following board meeting.
4. The chairman of the board will determine to move to the closed session depending on the membership participation at the open session.

The Board shall not make any final policy decisions or adopt or approve any resolutions or motions in open session. Members should note that the Board of Directors is solely responsible for decisions made or actions taken, and this function of the Board of Directors cannot be assumed by any Member.

104.2 Executive Committee Duties

In addition to the duties described in the Bylaws of the Corporation, the Executive Committee shall:

- 1) Review all IGSA press releases, documentation or other correspondence by 3-day email review prior to distribution. If no response at all is received within the specified period, this will automatically be treated as an affirmative response.

- 2) Approve all IGSA press conferences or other marketing events by 3-day email review. If no response at all is received within the specified period, this will automatically be treated as an affirmative response.
- 3) The EC will approve the process for hiring staff positions as applicable for the nature of the position, including approval of the job description.
- 4) The EC shall have the authority to request an email vote from the BOD on proposals that have been agreed upon in advance (for example, in the strategic plan), if they determine that delay will be a detriment to progress.

104.3 Board of Directors Advisory Council

The BAC is not a standing committee and will meet at the direction of the Chair of the BOD, or the appointed delegate from the BOD, to address specific issues of concern. The BOD will be notified in advance of any BAC meeting and any recommendations.

104.3.1 Eligibility

1. Any individual whose background and knowledge are deemed beneficial to IGSA shall be eligible to serve as an advisor. Advisory Council participants are called Advisors.

104.3.2 Composition

1. The BAC will be comprised of invited Advisors.
2. No Advisor can be actively involved in any of IGSA's standing committees including the BOD
3. The BAC shall be comprised of Advisors from the gaming industry or policy domains or any other industry segment that can provide a broader perspective.

104.3.3 Requirements

The role of the BAC is purely advisory in nature.

1. The BAC shall abide by and adhere to the policies of IGSA, as may be adopted and modified from time to time.
2. The BAC must be aware that they do not carry the same legal, personal or fiduciary responsibilities as the board of directors.
3. The BAC does not have legal authority to govern the organization, act with the authority of the BOD or to vote as a member of the governing body.
4. BOD members shall not share confidential information with the BAC.
5. BAC Advisors must disclose any potential conflict of interest prior to participation in the BAC or when/if it occurs.
6. BAC Advisors are volunteers without expectation of compensation.

104.3.4 Election and term

1. Every year, the Executive Committee (EC) of the BOD shall make appointments to the BAC. The EC and the President of IGSA shall be responsible for nominating individuals to serve on the BAC.
2. Candidates may self-nominate by contacting any BOD member.
3. Except as otherwise provided herein or determined by the EC, each Advisor shall serve for a term of a one (1) year unless the Advisor resigns or is removed by the EC.
4. Each Advisor may, but shall not automatically, be nominated and or appointed for consecutive terms.

104.3.5 Vacancies, resignation and removal of advisors

1. The EC may fill any vacancy in the BAC. All such vacancies shall be filled for the remainder of the term of the resigned or removed Advisor.

2. Any Advisor may resign by delivering a written resignation to the IGSA President or the Chair of the BOD.
3. Such resignation shall be effective upon receipt unless it is specified to be effective at some other time or upon the happening of some other event.
4. The EC may disband the BAC or remove any Advisor from the BAC at any time, with or without cause.

104.3.6 Responsibilities

The primary responsibilities of the BAC shall be to provide their expertise, advice, feedback and guidance to the EC on creating value for its members and ensuring that business needs are met. The BAC shall also, *upon request*:

1. Provide insight and recommendations on issues of a specific or timely nature to the EC.
2. Participate in special projects of interest to the EC and/or other IGSA committees;
3. Recruit new Members, supporters and other IGSA participants;
4. Act as ambassadors and spokespersons in good faith for IGSA;
5. Allow IGSA to publish their names as members of the BAC;
6. Attend any meeting of any IGSA committee.

104.3.7 Meetings

1. The BAC shall hold face-to-face or telephone meetings as needed and at their discretion with a minimum of seven (7) days' notice prior to such meeting.
2. An individual Advisor, selected by the BAC at each meeting, shall act as the Chair and preside at that meeting of the BAC.
3. The BAC chair will be the liaison with the EC.
4. The BAC may invite any individual they deem appropriate to attend their meetings, at any time.
5. The BAC will determine its own agenda and shall cause minutes to be kept at all meetings.
6. A member of the BAC is entitled to vote on decisions made within the BAC.
7. Minutes will be distributed to the EC and BAC a reasonable time after each such meeting.

104.4 Architectural Oversight Committee Duties

The Architectural Oversight Committee (AOC) addresses technical related issues from a business perspective. The AOC shall be comprised of members of the board of directors and their designated technical expert. The Chairman of the board shall chair the committee. The AOC will also make recommendations to the board of directors.

The AOC shall:

1. Send an agenda to the membership 30 days in advance of the meeting to solicit written feedback on proposed motions.
2. Ensure that committee charters are consistent with IGSA's objectives.
3. Ensure that committee activities are individually and collectively aligned with IGSA's objectives.
4. Resolve technical disputes within and between Technical Committees.
5. Review and approve new features and the protocol release schedule.
6. Undertake other responsibilities and duties as determined by the Board of Directors.

POLICY 105. FINANCIAL

105.1 Fiscal Year

The fiscal year of the Corporation shall end on the thirty-first (31st) day of December in each year.

105.2 Annual Dues and Collection

105.2.1 Membership Dues

Except for Platinum Members, membership dues are paid annually and are renewed on or before January 1st of each year. Platinum Member dues can be paid bi-annually on or before Jan 1st and July 1st of each year.

Companies joining between July through December will be billed at half the annual rate and must commit to renew their membership for the next calendar year at the same membership class or higher.

Bronze Members may not pro-rate membership dues.

Members, who have failed to renew their membership in a calendar year, but later wish to renew during the same calendar year, must rejoin at the full fee.

Under no circumstances are membership dues refundable.

105.2.2 Invoicing

Invoices will be issued and mailed, by the Secretariat, sixty (60) days prior to the beginning of the new fiscal year. All invoices are sent to the primary representative of the Member.

Any account unpaid shall be handled in the following manner:

- 1) A payment due reminder will be sent at thirty (30) days prior to the due date.
- 2) Suspension of membership privileges will occur when the invoice is thirty (30) days past due. The primary representative will be notified of the suspension of membership privileges.
- 3) Membership termination will occur when the invoice is sixty (60) days past due. The primary representative will be notified of termination.
- 4) Membership will be reinstated only after all applicable dues are paid.

Members agree to continue to be bound by all policies and bylaws until the date a Member indicates their intention not to renew or until expiration of the sixty (60) day grace period, whichever shall first occur.

105.3 Financial and Investment

105.3.1 Financials

The President, Executive Director and Treasurer will prepare the IGSA budget annually. Subsequent to approval of the budget by the BOD, the President, Executive Director and Treasurer will be responsible for managing, overseeing and reporting budget projections to the BOD.

105.3.2 Investments

The reserve funds of the IGSA will be conservatively managed to provide a maximum return on investment. No more than the maximum FDIC insured shall be held in any single instrument. Instruments shall be selected to provide the highest available interest return while placing minimal risk on the principal. Guaranteed return instruments are strongly preferred. Sufficient funds to conduct the business of the IGSA shall be held in interest bearing checking accounts provided that the funds present in such accounts are fully insured. The President, Executive Director and Treasurer will prepare the IGSA investment plan annually. Subsequent to approval of the plan by the BOD, the President, Executive Director and Treasurer will be responsible for implementing, overseeing and reporting investment status to the BOD.

105.3.2.1 *PERMISSIBLE INSTRUMENTS*

- 1) Banker Acceptance Notes
- 2) Certificates of deposit
- 3) United States Agency Bonds & Securities

105.3.2.2 *LIQUIDITY OF INVESTMENT INSTRUMENTS*

The reserves of the IGSA shall be invested in such a way that the instruments mature in a staggered time frame wherein at least one sixth of the investment is liquid in the present month with maturity of all instruments occurring within six months.

105.3.2.3 *EQUIPMENT PURCHASES*

From time to time the IGSA may purchase equipment to assist in the business of the organization. Each single equipment purchase under \$5,000 USD will be expensed immediately following purchase. Any single equipment purchase over \$5,000 USD threshold shall be considered capital equipment and will be depreciated.

POLICY 106. ORGANIZATIONAL MANAGEMENT

106.1 Record Retention

106.1.1 General

Records must be kept that:

- 1) Provide the IGSA with information and data needed for operations
- 2) Comply with requests of internal or external auditors
- 3) Comply with federal, state, and local laws
- 4) Comply with tax or other regulations of administrative bodies

Records or documents, including paper, electronic files (including e-mail) and voicemail records, regardless of where they are stored, will be retained in the most efficient format and manner available.

106.1.2 Annual Cleanup and Audit

During the first quarter of each fiscal year, IGSA shall review all records in their control and destroy those that are beyond their retention life as outlined in Table A.

106.1.3 Confidential Records

Confidential records include bank information and other information that is specifically marked confidential. Confidential records, which are authorized for destruction, shall be deleted or destroyed as appropriate for the specific media on which the information is stored.

106.1.4 Legal Interruption of Normal Operations

On occasion, the BOD or Executive Director may issue instructions to IGSA to retain specific records required for legal actions or proceedings. When such instructions are issued, the records are to be held until specific authorization is granted for their destruction. In addition, whenever IGSA knows that there are pending controversies, claims or disputes, all records related to these controversies, claims or disputes shall be held until specific authorization is granted for their destruction.

106.1.5 Document Retention Minimum Best Practice

Table 3. Document Retention

Type of Document	Manner of Record Keeping	Minimum Best Practice
Accounts receivable and payable ledgers/schedules, payables matrix	Store with financial records	7 years
Annual reports	Store with financial records	Permanent
Audit reports	Store with financial records	Permanent
Bank statements and reconciliations, financial statements, general/private ledgers, trial balance, journals	Store with financial records	7 years
Cash advance vouchers to employees for travel and related expenses	Store with financial records	7 years
Charge and bad check returns from the bank	Store with financial records	7 years
Chart of Accounts	Store with financial records	7 years
Checks and support (for important payments and purchases)	Store with financial records	7 years
Checks (record, voided or cancelled)	Store with financial records	7 years
Contracts and leases (expired)		7 years from expiration or termination of the contract
Contracts (still in effect)		Permanent
Correspondence (general)		3 years
Correspondence (legal and important matters)		Permanent
Correspondence (with customers and vendors)		3 years
Deposit records, electronic fund transfer documents and cancelled checks	Store with financial records	7 years
Depreciation schedules	Store with financial records	7 years from the disposition of the property
Donations		7 years
Expense analysis/expense distribution schedules	Store with financial records	7 years
Incorporation documents, including articles of incorporation, bylaws and related documents	Store in corporate records book	Permanent
Insurance policies (expired)		3 years from expiration or termination of the policy
Insurance records, current accident reports, claims, policies, etc.		Permanent

Table 3. Document Retention

Type of Document	Manner of Record Keeping	Minimum Best Practice
Internal audit reports, records and work papers	Store with financial records	3 years
Inventories of products, materials and supplies		3 years
Invoices (to customers)	Store with financial records	3 years
Legal claims (against)	Referred to counsel who will provide instruction on records retention	TBD by legal counsel
Meetings: Board documents, including agendas, minutes and related documents	Store in corporate records book(s). Once approved, all drafts, copies and notes used to prepare minutes should be destroyed.	Permanent
Meetings: Committee documents, including agendas, minutes and related documents	Store with committee records. Once approved, all drafts, copies and notes used to prepare minutes should be destroyed.	Permanent
Meetings: Member documents, including agendas, minutes and related documents	Store with Member meeting records.	Permanent
Meetings: Trade show documents	Store with trade show records.	3 years
Patents, patent disclosures, license agreements and related papers		Permanent
Publications provided to the public	Once published, drafts and work papers used to prepare the material should be destroyed	7 years
Tax-exemption documents including federal application for tax exemption (IRS Form 1024), state application for tax exemption, IRS determination letter, state determination letter and related documents	Store in corporate records book	Permanent
Tax returns and worksheets	Store with financial records. Federal law requires the 3 most recent years be stored in organization's headquarters office and be made available for public inspection upon request	Permanent
Trademark registrations and copyrights		Permanent

106.2 Conflict of Interest

106.2.1 Purpose

This policy outlines the code of conduct and ethics that all BOD members, staff and volunteers in a position of leadership within IGSA agree and adhere to upon joining and/or continuing to work within the organization.

By agreeing to this policy, BOD members and staff accept a personal obligation to the International Gaming Standards Association and its Members and do hereby commit to the highest ethical and professional conduct and agree:

- 1) To avoid real or perceived conflicts of interest whenever possible, and to disclose them to affected parties when they do exist;
- 2) To be honest and realistic in stating claims or estimates based on available data;
- 3) To reject bribery in all its forms;
- 4) To undertake tasks for others only if qualified by training or experience, or after full disclosure of pertinent limitations;
- 5) To seek, accept, and offer honest criticism, to acknowledge and correct errors, and to credit properly the contributions of others;
- 6) To treat fairly all persons regardless of such factors as race, religion, gender, disability, age, or national origin;
- 7) To avoid injuring others, their property, reputation, or employment by false or malicious action;
- 8) To assist colleagues and co-workers in their professional development and to support them in following this code of ethics;
- 9) To maintain complete loyalty to the IGSA and aggressively pursue its objectives;
- 10) To hold inviolate the confidential relationship between the individual Members and myself, and the confidential information entrusted to me;
- 11) To serve all Members impartially, and provide no special privilege to any individual Member, nor to accept special personal compensation from an individual Member, except with the knowledge and consent of the IGSA;
- 12) To neither engage in, nor countenance, any exploitation of the IGSA or the industry;
- 13) To recognize and discharge my responsibility and that of the IGSA to uphold all laws and regulations relating to its activities;
- 14) To exercise and insist on sound business principles in the conduct of the affairs of the IGSA;
- 15) To use only legal and ethical means if seeking to influence legislation or regulation and to issue no false or misleading statements to the public;
- 16) To maintain high standards of personal conduct.

106.3 Whistle Blower

106.3.1 General

The IGSA the code of conduct and ethics as defined in the Conflict of Interest section requires directors, officers, volunteers, staff and contractors to observe high standards of business and personal ethics in the conduct of their duties and responsibilities. As representatives of the IGSA, we must practice honesty and integrity in fulfilling our responsibilities and comply with all applicable laws and regulations.

106.3.2 Reporting Responsibility

It is the responsibility of all directors, officers, volunteers, staff and contractors to comply with the code and to report violations or suspected violations in accordance with this Whistle Blower section.

106.3.3 No Retaliation

No director, officer, volunteer, staff member or contractor who in good faith reports a violation of the code shall suffer harassment, retaliation or adverse employment consequence. Any director, officer, volunteer, staff member or contractor who retaliates against someone who has reported a violation in good faith is subject to disciplinary actions up to and including termination of membership, employment and generally any and all association with the IGSA. This Whistle Blower section is intended to encourage and enable staff and others to raise serious concerns within the IGSA prior to seeking resolution outside the IGSA.

106.3.4 Reporting Violations

The code addresses the IGSA's open door policy and suggests that directors, officers, volunteers, staff and contractors share their questions, concerns, suggestions or complaints with someone who can address them properly. In most cases, the person's supervisor within IGSA is in the best position to address an area of concern. However, if the person is not comfortable speaking with his or her supervisor or is not satisfied with the response, the person is encouraged to speak with the President, Executive Director and/or the BOD. Anyone receiving a report of a suspected violation is required to report the suspected violation to the Treasurer, who has specific and exclusive responsibility to investigate all reported violations. For suspected fraud, or when the person is not satisfied or comfortable with following the IGSA's open door policy, individuals should contact the Treasurer directly.

106.3.5 Reporting Individual

The Treasurer is responsible for investigating and resolving all reported complaints and allegations concerning violations of the Code and, at his/her discretion, should advise the President, Executive Director and/or the BOD. The Treasurer is required to report to the BOD at least annually on compliance activity.

106.3.6 Accounting and Auditing Matters

The BOD shall address all reported concerns or complaints regarding corporate accounting practices, internal controls or auditing. The Treasurer shall immediately notify the BOD of any such complaint and work with the BOD in good faith until the matter is resolved.

106.3.7 Acting in Good Faith

Anyone filing a complaint concerning a violation or suspected violation of the code must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation of the code. Any allegations that prove not to be substantiated and which prove to have been made maliciously or knowingly to be false will be viewed as a serious disciplinary offense.

106.3.8 Confidentiality

Violations or suspected violations may be submitted on a confidential basis by the complainant or may be submitted anonymously. Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.

106.3.9 Handling of Reported Violations

The Treasurer will notify the sender and acknowledge receipt of the reported violation or suspected violation within five business days. All reports will be promptly investigated and appropriate corrective action will be taken if warranted by the investigation.

106.4 Privacy

106.4.1 Scope

This privacy policy applies to the International Gaming Standards Association (IGSA). It sets out how we obtain, store and use your personal information when you use or interact with:

- www.igsa.org
- [https://aro.gamingstandards.com/bin/c5i\(Member Services\)](https://aro.gamingstandards.com/bin/c5i(Member%20Services))
- <https://gamingstandards.atlassian.net/secure/Dashboard.jspa> (JIRA)

Or, where we otherwise obtain or collect your personal information. By using any of our web sites or sharing your personal information with us, you are accepting and consenting to the practices described in this Privacy Policy.

106.4.2 General

IGSA operates in an open and transparent fashion. As a part of this transparency, any contributions, submissions, statements or communications made to us, including postings or other contributions you make to the IGSA websites, most mailing lists operated by IGSA, contributions that you submit, comments you send to IGSA regarding IGSA documents, intellectual property disclosures and updates, requests for document authentication, subpoenas, registrations for meetings of IGSA or mailing list registrations, and most other information that you send, submit or post to IGSA, and any information that we collect about you through your use of any IGSA web site, mailing list or other resource (collectively, your "Personal Data"), other than as expressly excepted in this Statement, will be made public through electronic and other means. Personal Data includes, for example, (a) first and last name, (b) postal address if included in postings or submissions (e.g., Contributions), (c) e-mail address, or (d) any other identifier that permits the physical or online contacting of a specific individual. In addition, lists of the subscribers to IGSA-managed mailing lists may be available to subscribers of the lists. You should be aware of our transparent operation when communicating with us.

By providing us with your Personal Data, you are consenting to our disclosure and use of it for the purposes as described in this Statement. By requesting interest to become a member, submitting a membership application, subscribing to a mailing list managed by IGSA or submitting a contribution to IGSA, you consent to our using your Personal Data to communicate with you about your participation in activities of IGSA.

IGSA may also collect information, including through audio, pictorial and video recording, during and in connection with its events, meetings, mailing lists and conferences, and automatically through its web sites. All such information may be made public and used by the IGSA in connection with the activities of the IGSA.

The IGSA web server logs record certain visitor characteristics, such as IP address and browser version. We retain these logs for 5 days for debugging purposes only, and then purge these logs. IGSA never makes log information available to the public, or any third parties.

When you interact with the IGSA web site, we use cookies to allow us to remember your login status between transactions. You may opt to have a cookie remain in your browser for up to 2 weeks to remember that login status across browser sessions. We never use cookies to track you outside of the IGSA website, nor to encode or remember personal information about you. IGSA does not use any other forms of browser storage, such as third-party cookies, Flash cookies, Site Preferences, or Offline Website Data in any way.

If you disable cookies, or otherwise prevent their use, you will still be able to browse our public websites, but you will not be able to log in to any private areas, as your browser will not be able to remember your login session ID, and will therefore not be able to access private website pages.

IGSA does not engage in tracking behavior, as that term is defined by the Do Not Track (DNT) specification. Consequently, our web sites do not alter their behavior according to the value of browsers' DNT requests.

106.4.3 You Consent to International Transmission of Your Data

Due to the nature of the Internet and our international operations, your communications and submissions may result in the transfer of your information across national boundaries and outside of your country of residence. By communicating with IGSA and submitting information to us, you consent to these transfers and to the use of your information as described in this Statement. If you provide Personal Data to IGSA, you acknowledge and agree that such Personal Data may be transferred from your current location to others in any country in the world.

106.4.4 Exceptions: Information That We Do Not Share

As an exception to the IGSA general policy of releasing information to the public, there are certain limited types of Personal Data that we do not share in the ordinary course of our operations ("Non-Public Information"). The categories of Non-Public Information that we currently recognize are described below.

106.4.5 Payment Information

Payment information is not collected or stored on any servers operated by the IGSA. If you conduct transactions using our web sites (e.g., meeting registration), payment and payment card information will be entered directly into a third-party processor's systems and is not transmitted through or stored by our web sites. We make reasonable efforts to ensure that our third-party processors handle your non-public information responsibly.

106.4.6 Registration Information

IGSA collects information from people who register for meetings. The attendee lists, which may be published in the meeting proceedings, include the registrant's name and organization. All other information we collect is only published, if at all, in summary form.

106.4.7 Non-Public Mailing Lists and direct mail to individuals at the Parties

A small number of mailing lists operated by IGSA, such as the Board mailing lists, are not available or disclosed to the public. These mailing lists are clearly indicated as non-public in their registration materials. We do not make the contents of these mailing lists available to the public. In addition, email sent directly to individuals at IGSA will not generally be made available to the public.

106.4.8 Passwords

Some areas of the web sites and some mailing lists operated by IGSA require you to create and enter a password. IGSA stores these passwords and does not make them available to the public.

106.4.9 Information for letters of invitation

We delete the personal information that we collect to generate letters of invitation in a timely fashion after each meeting. We request that local organizations with which we share this data to generate the letters also delete it in a timely fashion.

106.4.10 Security

We have implemented commercially reasonable precautions that we believe are appropriate to prevent the unauthorized use, disclosure and alteration of Non-Public Information. However, no data security measures can guarantee complete data security, and IGSA does not guarantee the confidentiality of anything that you submit to IGSA. Please contact us at sec@gamingstandards.com if you believe that the security or integrity of any non-public information that you have submitted to IGSA has been compromised. Additionally, we may at times be required by law to release Non-Public Information, and we reserve the right to do so if we believe in good faith that such release is required by applicable law, regulation or judicial order.

106.4.11 Children

Our websites are not intended for use by children under 13 years old. We do not knowingly collect personally identifiable information from, or target our websites to children under the age of 13. In accordance with the United States Children's Online Privacy Protection Act of 1998, if we discover that a child under 13 has provided us with personally identifiable information, without the consent and participation of a parent or guardian, we will remove it from our systems.

106.4.12 Compliance

If you have any questions regarding this Statement or believe that IGSA is not following the procedures described in this Statement, please contact sec@gamingstandards.com. You also may contact us if you have any concerns about the accuracy of, or wish to correct, your Personal Data, or if you wish us to cease processing your Personal Data. We reserve the right to decline any request to remove or alter information on the web sites operated by IGSA or in our records except to the extent that we are legally required to do so. Notwithstanding the above, we may disclose non-public information about your activities if required by the law or by a lawful order.

106.4.13 Other Organizations

For the avoidance of doubt, this Statement relates solely to the activities of IGSA, and does not cover any non-IGSA activities of any other organization that maybe affiliated with the IGSA.

106.4.14 Links to other sites

Occasionally, IGSA will link to websites or services operated by third parties, for example, to conferencing services. IGSA makes no representation about the privacy policies of such sites.

106.4.15 Consent

Subject to the above provisions, by communicating information (including Personal Data), you consent to the collection, public disclosure and use of information by us in accordance with this Statement.

DEFINITIONS

This section defines the terms as used in this document, except where specified otherwise.

BOD: The Board of Directors of the International Gaming Standards Association.

Committee Member: A Member's Representative that satisfies the requirements for committee membership.

Committee Voting Status: The right to vote in a Formal Meeting.

Executive Committee: A standing committee of the Board of Directors.

Extension: An addition to an existing IGSA standard developed separately from IGSA for eventual inclusion in an IGSA standard.

Final Draft: A proposed standard or extension approved by the Technical Committee to be voted upon by the Voting Members of IGSA.

Formal Meetings: A formal committee meeting is a meeting where seven (7) days notices has been given, and minutes and attendance records are kept.

Good Standing: Those Members who have paid the required dues, fees, and assessments in accordance with the Bylaws and who are not suspended shall be Members in good standing.

Initial Draft: The initial working draft that is sent out to the IGSA membership for review and comments.

Interim Meetings: An informal committee meeting or an ad hoc meeting.

Member: Any company that has met the requirements of membership.

Normative: The requirements of an IGSA standard.

Representative: A Member's employee or another individual designated by the company.

Standard: A document created and owned by IGSA.

Technical Bulletin: A document that addresses critical corrections or clarifications to a Standard, including typographical errors and non-functional changes to a Standard and/or its associated schema.

Voting Committee Member: A Voting Member that has achieved Committee Voting Status.

Voting Member: Any Gold or Platinum Member.

Working Draft: A working draft of a proposed standard or extension.

POLICY BOOK REVISIONS

Version	Submitted By	Revision Summary	Date Approved
1	Michelle Olesiejuk	This document is a compilation of the content formerly found in the following retired policies: <ul style="list-style-type: none"> • 101.V4 – Membership • 102.V3 – Committees • 103.V0 – Intellectual Property • 104.V0 – Board of Directors • 105.V0 – Financial • 106.V0 – Organizational Management 	Apr 19, 2012
2	Michelle Olesiejuk	Added “Intellectual Property” section to the end of Policy 103, which specifies that IGSA, upon dissolution, will donate its IP to the public domain.	July 19, 2012
2 (r1)	Michelle Olesiejuk	Added clarifications to membership benefits table under additional benefits: new available publication discounts and attendance at IGSA organized events.	August 16, 2012
3	Michelle Olesiejuk	Added host criteria and responsibilities to Policy 102.	January 9, 2013
4	Michelle Olesiejuk	Removed limitation for test lab membership to only Bronze or Silver, in Policy 103	January 24, 2013
5	Michelle Olesiejuk	Added clarifications to Policy 102.4 Extensions	April 17, 2013
6	Michelle Olesiejuk	Policy 102.4 and 104.2 updated.	May 16, 2013
7	Michelle Olesiejuk	Board policy updated to include AOC committee	July 18, 2013
8	Michelle Olesiejuk	Policy 101.4 Updated document distribution	September 18, 2013
9	Michelle Olesiejuk	Policy 102.6 Added Publication of new features	May 15, 2014
10	Michelle Olesiejuk	Policy 104.1 Updated merger/acquisition rules	December 11, 2014
11	Michelle Olesiejuk	Policy 104.4 Added Board Advisory Policy	May 28, 2015
12	Michelle Olesiejuk	Policy 102 Updated committee meeting requirements	October 27, 2016
13	Michelle Olesiejuk	Policy 101 Added committee specific membership policy, updated the member benefits table	September 7, 2017
14	Michelle Olesiejuk	Policies 101.2.4 & 102.2 updated to reflect licensing.	February 7, 2018
15	Michelle Olesiejuk	Policy 104 Board Service now includes term limit.	March 22, 2018
16	Michelle Olesiejuk	Policy 101.3 Document Distribution penalties clarified, IP.com process included in policy 102/103.	May 24, 2018
17	Michelle Olesiejuk	Policy 101 Supporting Membership & 106 Privacy Policy added.	August 30, 2018
18	Michelle Olesiejuk	Policy 101, added Manufacturers ID section. Policy 104 added Membership Participation section. Policy 102 Revised Extensions to Standards section.	December 20, 2018

Version	Submitted By	Revision Summary	Date Approved
19	Michelle Olesiejuk	Policy 102, added OGC business bulletin option and description.	April 9, 2020
19	Michelle Olesiejuk	Updated the entire Policy book to reflect name change of GSA to IGSA.	April 9, 2020
20	Michelle Olesiejuk	Clarified Membership Right to use – 101.2.2	August 6, 2020
21	Michelle Olesiejuk	Clarification Membership Privileges, Dues, License Fees	June 22, 2021
22	Michelle Olesiejuk	Expands the authority of the Executive Committee.	June 9, 2022

Policy Revisions

The following revisions were made to membership policies prior to the consolidation of all policies into one handbook.

Version	Submitted By	Revision Summary	Date Approved
101.00	Michelle Olesiejuk	<p>This document is a compilation of the content formerly found in the following retired policies:</p> <ul style="list-style-type: none"> • 104.V16 - Membership Qualification • 108.V6 - Document Distribution • 114.V3 - Confidentiality and Non-Disclosure • 119.V1 - Press Contact Policy <p>Summary of changes:</p> <ul style="list-style-type: none"> • The policy was clarified to ensure that wholly owned subsidiaries could only hold a silver membership. 	Oct 15, 2010
101.01	Michelle Olesiejuk	Corrected Classes, Levels, and Dues to remove Bronze from non-voting class Members. Removed requirement for Advisory Members to be 17025 accredited.	Feb 24, 2011
101.02	Michelle Olesiejuk	Introduced Bronze membership class.	Jun 23, 2011
101.03	Michelle Olesiejuk	Advisory membership returned to be at the invitation of the BOD. Updated document distribution section to reflect what types of government operators may hold membership. Clarified that the BOD may determine if a standard will be provided to the public free of charge.	Jul 22, 2011
101.04	Michelle Olesiejuk	Specified that only Members can be recognized as IGSA accredited test labs.	Dec 8, 2011
101.04r1	Michelle Olesiejuk	Clarified that staff support is a benefit only for Platinum, Gold and Silver members.	Jan 12, 2012
102.00	Michelle Olesiejuk	<p>This document is a compilation of the content formerly found in the following retired policies:</p> <ul style="list-style-type: none"> • 101.V12 - Standards Development Process • 102.V6 - Committee Membership Requirements • 124.V2 - Technical Committee Operating Procedures • 126.V3 Operator Advisory Committee (OAC) 	July 22, 2011

Version	Submitted By	Revision Summary	Date Approved
		<ul style="list-style-type: none"> 128.V3 - Incorporation of Manufacturer Extensions into IGSA Standards 127.V2 - Meeting Host Criteria Summary of changes: <ul style="list-style-type: none"> A distinction was created between Technical and non-Technical committees. All committees are required to have charters (not policies) The OAC Policy (not the committee) was eliminated because its operational activities are now governed in the new policy (it's recommended that the OAC charter be updated to include information formerly in the policy). Eliminated extension process temporarily till details could be agreed upon. 	
102.01	Michelle Olesiejuk	Updated to include the extension process, section 4.5.	August 8, 2011
102.02	Michelle Olesiejuk	Clarify inclusion process of Manufacturer Extension in IGSA standards	August 31, 2011
102.03	Michelle Olesiejuk	Create new document type - guidelines	January 20, 2012
102.04	Michelle Olesiejuk	Revised the process for extensions to standards	March 18, 2013
102.5	Michelle Olesiejuk	Changed roadmap terminology to 'new features'	May 15, 2014
104.1.4	Michelle Olesiejuk	Changed merger rules with regards to BOD members	December 11, 2014
104.4	Michelle Olesiejuk	Added new policy section on Board Advisory Council	May 28, 2015
102.2.1	Michelle Olesiejuk	Reduced the amount of allowed committee meetings	October 27, 2016
101.2	Michelle Olesiejuk	Updated the member benefits table to reflect new member category and new licensing terms	September 7, 2017
101.2.4	Michelle Olesiejuk	Added new policy section on Committee Specific membership	September 7, 2017
101.2.4	Michelle Olesiejuk	Clarified licensing terms	February 7, 2018
102.2	Michelle Olesiejuk	Include new opt-in provisions	February 7, 2018
104.1.3	Michelle Olesiejuk	Board Chair/Vice Chair limited to 3 year term	March 22, 2018
101.3	Michelle Olesiejuk	Penalties for document distribution to non-members clarified.	May 24, 2018
102.3.3	Michelle Olesiejuk	Process for IP.com notification added to committee chair responsibilities.	May 24, 2018
103.16.1	Michelle Olesiejuk	IP.com process added to Intellectual Property policy.	May 24, 2018
101.2.7	Michelle Olesiejuk	Supporting membership category added.	August 30, 2018
106.4	Michelle Olesiejuk	Privacy policy added to become compliant with GDPR.	August 30, 2018
101.2.2	Michelle Olesiejuk	Added new policy Manufacturers ID section to clarify how MID's are handled.	December 20, 2018
102.4.5	Michelle Olesiejuk	Updated how extensions to standards are handled.	December 20, 2018

Version	Submitted By	Revision Summary	Date Approved
104.1.6	Michelle Olesiejuk	Added new policy Membership Participation section to the existing BOD policy..	December 20, 2018
104.4.4	Michelle Olesiejuk	Added new document type, OGC business bulletin	April 9, 2020
104.4.4.2	Michelle Olesiejuk	Added new section describing new document type, OGC business bulletin.	April 9, 2020
101.2.2	Michelle Olesiejuk	Clarified Membership Right to use	August 6, 2020
101	Michelle Olesiejuk	Formatting changes and editorial clarifications were made to some sections of the Membership policy. The benefits and fee table was removed and is now referred to on the IGSA website.	June 22, 2021
102	Michelle Olesiejuk	The EC was given the authority to ask the BOD for a vote via email, if the issue requires a timely resolution.	June 9, 2022